

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
8 November 2001 (08.11.2001)

PCT

(10) International Publication Number
WO 01/84506 A2

- (51) International Patent Classification?: G07C 5/00
- (21) International Application Number: PCT/US01/14038
- (22) International Filing Date: 1 May 2001 (01.05.2001)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
- | | | |
|------------|-------------------------------|----|
| 60/201,243 | 1 May 2000 (01.05.2000) | US |
| 09/644,420 | 23 August 2000 (23.08.2000) | US |
| 09/736,495 | 13 December 2000 (13.12.2000) | US |
| 09/844,089 | 25 April 2001 (25.04.2001) | US |

151 E. 4th Street, Erie, PA 16507 (US). **SCHLABACH, James, Edward**; 4138 Mountain Laurel Drive, Erie, PA 16510 (US). **PELEGGI, Nunzio**; 12 Slingerland Street, Slingerlands, NY 12159 (US). **GIU, John, Yupeng**; 10 Oak Tree Lane, Niskayuna, NY 12309 (US). **PIERRO, Michael, James**; 4717 Amherst Road, Erie, PA 16506 (US). **SCHNEIDER, William, Roy**; 8990 Old Wattsburg Road, Erie, PA 16510 (US).

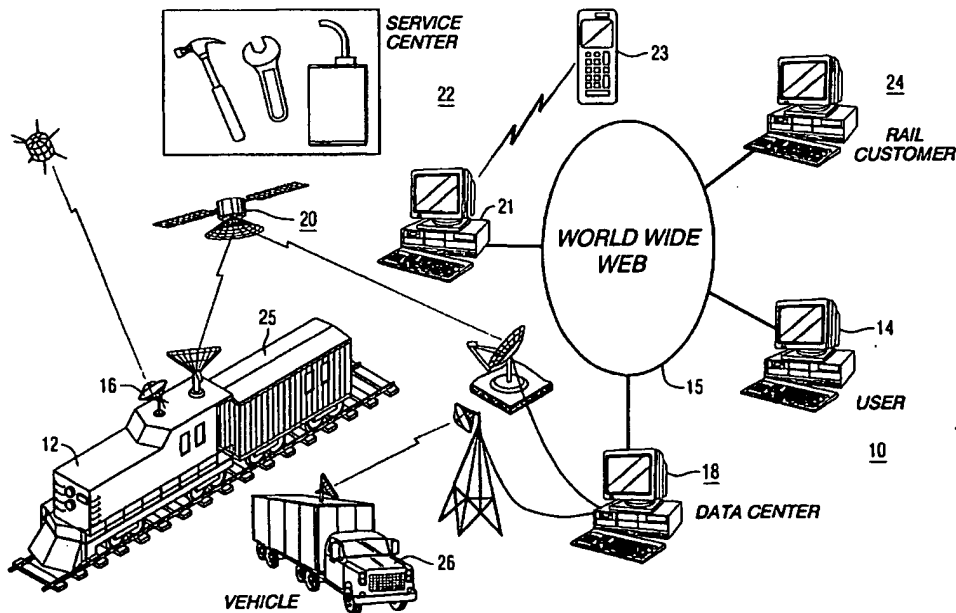
(74) Agent: **BENINATI, John, F.**; GE International Patent Operation, 3135 Easton Turnpike, WC, Fairfield, CT 06431-0001 (US).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE,

[Continued on next page]

(54) Title: SYSTEM AND METHOD FOR MANAGING MOBILE ASSETS



(57) Abstract: Method and system for managing remote assets, such as a fleet of mobile assets (e.g., 10 or 26) is provided. The method allows for collecting data regarding each of a plurality of remote assets. The collected data is used to develop historical information regarding actual usage of each remote asset. The information is distributed via a communications network (e.g., 15).

WO 01/84506 A2

WO 01/84506 A2

IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

Published:

— *without international search report and to be republished upon receipt of that report*

WO 01/84506

PCT/US01/14038

SYSTEM AND METHOD FOR MANAGING MOBILE ASSETS

BACKGROUND OF THE INVENTION

The present invention relates generally to the field of managing remote assets. In one exemplary embodiment, the invention is described in the application of managing mobile assets, and, more particularly, in the application of managing a fleet of land-based mobile assets.

5 The management of a large fleet of remote assets, particularly when the fleet of assets comprises a fleet of mobile assets, such as a fleet of trucks, railway transportation equipment, or other land-based vehicles is a challenging logistical effort. There is continuing pressure for the owners and/or lessors, of such assets to improve the efficiency of operations of the assets to remain competitive in the
10 market place. For example, railroads must manage their fleets of locomotives to maximize the on-train time in order to remain competitive with alternative modes of transportation. The assignee of the present invention is a supplier of locomotive engines and has developed numerous design features and services to maximize the efficiency of operation of its locomotives. The assignee of the
15 present invention has also undertaken to provide integrated maintenance services to the owners and/or lessors of automotive assets. Such services may include managing fleet-related data among a plurality of maintenance service centers that supply necessary parts and labor. The coordination of the servicing of a large fleet of mobile assets and the communication with the various parties involved in
20 such efforts are monumental tasks.

United States patent 5,845,272 dated December 1, 1998, commonly owned with the present invention, describes a system and method for diagnosing failures in a locomotive. While such a system and method has proven beneficial, further improvements in fleet management are desired.

25 Additionally, operations of mobile assets such as commercial trucks, fleets of leased cars and even private vehicles are generally burdened by overspending on maintenance both in direct costs and in lost productivity of the assets due to unduly conservative maintenance schedules. Such schedules may generally

WO 01/84506

PCT/US01/14038

represent the extreme asymmetry in effective cost of planned versus unplanned
down time of the mobile assets. Thus, reliable and inexpensive data management
services targeted at such assets, and, more specifically, to their operators is
desirable. Dynamically and personalized timely delivery of information to
5 operators of the remote assets presents a substantial opportunity for productivity
enhancement of the assets, operators and financial investment of the service
providers. Location information, as may be available through various navigation
systems, such as a Global Positioning System (GPS) and other transponder-based
systems, has yet to be leveraged in a systematic manner which enables cost-
10 effective logistics planning, maintenance planning and targeted marketing.
Various features available onboard the remote assets have not yet been fully
exploited for usage profiling, planning, diagnostics, prognostics or subsystem
optimization in the mobile assets. Examples of such features include
computerized control of various subsystems used for operation of the remote
15 assets, e.g., propulsion subsystem, climate control, engine, etc., local storage of
fault codes and buffering, and storage and data reduction of analog or digital data
that such subsystems automatically generate during their operation. The
proposed system and techniques of the present invention are believed to
appropriately address the foregoing shortcomings of presently implemented
20 practices.

For the reasons set forth below, it would be further desirable to provide
improved techniques and system for managing and communicating data
indicative of actual vehicle use that may be used to determine whether or not
each vehicle is compliant with the prescribed usage of the vehicle. For the
25 purposes of the present invention the terms "mobile asset" and "vehicle" may be
used interchangeably unless otherwise indicated. One example of a parameter
relating to the use of the vehicle that may be monitored using suitable onboard
sensors may comprise cargo weight. Other parameters that may be monitored
may include engine emissions, operator log entries, brake equipment health
30 status, etc.

WO 01/84506

PCT/US01/14038

The weight onboard a vehicle is often of crucial importance, especially to carriers in transportation industries, such as the trucking or railroad industries. For example, the cost of delivering cargo for commercial purposes is generally assessed to the customer according to the weight of the cargo or load and the distance it must travel. Knowledge of cargo weight is therefore necessary to ensure that the customer is assessed the full price of transporting the cargo. Weight information can also be used to optimize the cargo at or near the vehicle's maximum capacity.

It is known that vehicle operators have relied on private or government-operated stationary platform scales or weigh stations for cargo weight information. Unfortunately, the stationary scale may be located inconveniently far away from the customer's loading dock. Thus, the vehicle operator has had to rely on the customer or shipping broker's quoted cargo weight or must travel, sometimes out of his or her way, to the nearest stationary scale for an accurate measurement. If the cargo weight quote proves erroneous at a stationary scale, the vehicle operator may have to return to the customer's loading dock to obtain full payment. The vehicle operator's inability to accurately determine the cargo weight at the loading dock, therefore, can result in wasted operator time, wasted vehicle travel mileage and time, and erroneous freight charges.

The weight of commercial cargo vehicles is also important from the perspective of public safety, highway and railway maintenance. Overloading a commercial cargo vehicle can create a hazard by reducing the vehicle's stability and braking ability. An overloaded commercial cargo vehicle also causes greater wear to highways or railways and to the vehicle itself. Governmental agencies therefore regulate vehicle weight by specifying a maximum legal cargo limit. Stiff fines are usually levied against operators who are found violating these regulations. Unfortunately, the cargo limit regulations have been generally enforced using the same stationary platform scales relied on by operators to determine a vehicle's loaded weight for pricing purposes. Vehicle operators may therefore lack the ability to detect non-compliance before being subject to liability for overloading.

WO 01/84506

PCT/US01/14038

The foregoing disadvantages of platform scales have been reduced somewhat by using portable platform scales that can be placed under each set of wheels. Such platform scales are carried from place to place in the vehicle. However, such scales are generally costly and cumbersome. For example, it is time consuming to place these scales beneath the individual sets of wheels and move the vehicle onto the scales in order to measure axle weights. To try to alleviate the foregoing problems, onboard vehicle weighing systems have been developed. In these systems, load cells or other weight-sensing transducers are secured to structural members of the vehicle in order to obtain axle weights.

Accordingly, it is known that on-board weighing systems offer some advantages over stationary scales. With on-board weighing systems, vehicle operators can determine vehicle weight at the loading dock or while under way to ensure accurate freight charge calculation, optimize cargo weight, and voluntarily comply with cargo limits.

Various on-board weighing devices are known. The devices have employed various weight sensor apparatus for sensing the weight of the vehicle's cargo, including load cells, strain gauges, displacement transducers on leaf or coil spring suspended vehicles, or pressure transducers on height-leveled, air spring suspended vehicles. The various weight sensor apparatus generate an electrical signal related to the cargo weight of the vehicle. Generally, the prior devices may include a cab-mounted read-out device for displaying the vehicle's cargo weight in response to a weight sensor signal.

A drawback of prior on-board weighing systems is that vehicles equipped with such systems are still subject to relatively time-consuming, paper-intensive and burdensome stops in order to be weighed for apportionment of tariffs and safety audits by the governmental inspectors. Overweight vehicles are subject to fines, delays and even seizure of assets to prevent safety issues and avoidance of apportioned tariffs or taxes. Unfortunately, the foregoing procedures consume valuable productivity of the operator, the vehicle, and carried goods as well as deplete scarce governmental manpower resources. Further, it is believed that implementation of various global or regional trade agreements (e.g., NAFTA (North America Free Trade Agreement)) may further increase the volume of

WO 01/84506

PCT/US01/14038

vehicles travelling on the highways or railways of any given nation. Thus, it would be desirable to provide automated, wireless communication system and techniques that would enable for weighing the vehicles without having to routinely stop and wait at any inspection or auditing station, either private or government-operated. It is believed that providing weight information of the vehicle on the fly, e.g., using "weigh as you go" techniques, will substantially ameliorate the foregoing drawbacks. It would be further desirable to quickly and inexpensively be able to reliably and accurately disseminate vehicle information indicative of actual use of the vehicle including weight data information over a communications network, such as the Internet, so that users that manage the fleet of vehicles, their customers and governmental agencies may benefit from the online availability of such information using a 24x7 essentially automated computer-based operation. That is, an operation generally available every day of the week, each of the 24 hours of the day, with minimal human intervention, if any, and accessible with commercially available technologies, e.g., Web-based technology.

BRIEF SUMMARY OF THE INVENTION

Accordingly, a system and method are described herein for effectively integrating the diverse elements involved in the management of remote assets, e.g., a fleet of mobile assets. In one aspect thereof, the invention makes use of the data management powers of modern computer and global information networks by using such tools to collect, store, analyze, distribute and present information in a format and at a time when it can be used most effectively by people responsible for such assets.

In one exemplary embodiment, the invention includes the aspects of real-time data collection from each of the mobile assets, computerized analysis of such data for failure detection and prediction, and the planning of maintenance activities responsive to such failure predictions prior to the asset being taken out of service. The planning of maintenance activities includes the selection of an optimal time and location for performing the work, with consideration given to trends in the operating data, the availability of necessary repair resources, and

WO 01/84506

PCT/US01/14038

other owner-defined criteria. Work planning further includes the automatic generation of appropriate work orders including the advance reservation of necessary service equipment, replacement parts and repair personnel. The various participants and stakeholders in these activities are provided with appropriate levels of information via a global information network. The information presentation power of the multi-media format of an Internet web site may be ideally suited in one exemplary embodiment for accomplishing many of the communication functions for implementing this invention. Other aspects of the invention include development of historical information regarding actual usage of each remote asset, and the arranging of that actual usage of the remote asset based on a plurality of operational modes of the asset. Each of said operational modes is indicative of a respective state of health of the asset. Service recommendations may be generated based on the actual usage of the asset. Further, various information, such as a cost/benefit evaluation of the asset, and warranty coverage may be further determined based on the actual usage of the asset.

Other aspect of the invention allows to provide a database configured to store a rule base for governing prescribed usage of a mobile asset and for gathering predetermined data indicative of the actual use of the mobile asset. As the mobile asset is in motion and using onboard sensors, a monitoring action allows to monitor at least one parameter relating to the use of the mobile asset. A transmitting action allows to transmit data relating to the mobile asset parameter for each mobile asset to the database. A processing action allows to process the transmitted data relative to the predetermined data to determine whether or not the actual use of each mobile asset is compliant with the prescribed usage of the mobile asset as set forth in the rule base. A notifying action allows to notify a user of the mobile asset of any non-compliance.

WO 01/84506

PCT/US01/14038

BRIEF DESCRIPTION OF THE DRAWINGS

The features and advantages of the present invention will become apparent from the following detailed description of the invention when read with the accompanying drawings in which:

FIG. 1 is a schematic illustration of an exemplary communications
5 network for managing a fleet of mobile assets.

FIG. 2 illustrates exemplary steps of a method for managing a fleet of mobile assets.

FIG. 3 is a block diagram of an exemplary system according to one aspect of the invention for communicating data from a mobile asset.

10 FIG. 4 is a block diagram of the monitoring station apparatus of the system shown in FIG. 3.

FIG. 5 is a flow chart of an exemplary mobile asset maintenance management method.

15 FIG. 6 is a flow chart of an exemplary method for conducting a remote inbound inspection of mobile assets, such as locomotives.

FIG. 7 schematically illustrates exemplary apparatus and method for generating work orders.

FIG. 8 illustrates an exemplary web page showing a route map for mobile assets.

20 FIG. 9 illustrates an exemplary web page showing the output of a search engine accessible via a communications network identifying the relative proximity of mobile assets to a repair shop.

FIGS. 10-12 respectively illustrate exemplary pages from a web site including information related to the management of a fleet of locomotives.

25 FIG. 13 illustrates an exemplary web page that may be used for meeting a contractual obligation to report out on actual usage of a fleet of trucks.

30 FIG. 14 illustrates an exemplary "pie chart" plot that indicates the amount of time a given set of mobile assets may have spent in respective operational modes indicative of a respective state of health of the assets.

FIG. 15 shows a flowchart of a method for managing a land-based mobile asset in accordance with one aspect of the present invention.

FIG. 16 shows a flowchart of a method for managing a land-based mobile asset in accordance with another aspect of the present invention.

5 FIG. 17 illustrates an exemplary schematic of a system that may be used for practicing aspects of the present invention, such as the respective methods illustrated in FIGS. 15 and 16.

FIG. 18 provides further details of the system of FIG. 17 including an exemplary installation of sensors for monitoring cargo weight onboard the mobile
10 asset.

FIG. 19 illustrates an exemplary arrangement of a transponder tag that may be used by the system of FIG. 17.

DETAILED DESCRIPTION OF THE INVENTION

To effectively manage each asset in a fleet of mobile assets, it is necessary to avoid unexpected equipment failures and to accomplish maintenance,
15 compliance, and repair activities in a time efficient manner. There is a tremendous amount of information available related to a fleet of mobile assets. Such information may include design information, real time operating data, historical performance data including failure probabilities, parts inventories, and geographic information related to the assets, cargo being transported with the
20 assets, parts, personnel and repair facilities, etc. Key to achieving efficient operation is the ability to communicate such information to people and places where it is needed, and to present the information in a format that makes it useful to accomplish the desired result.

In one exemplary embodiment, the system 10 of Figure 1 may be used for
25 managing a fleet of remote assets. Although primarily illustrated and described with respect to a fleet of mobile assets, such as a fleet of locomotives 12, or a fleet of trucks and/or tractor trailers 26, the invention may be implemented with other types of land-based remote assets that may be deployed at a particular site for an extended period of time, such as crane loading equipment based on a port,
30 excavation mining equipment based on a mine, agricultural farming equipment

based on a farm, etc. Furthermore, the apparatus and method described herein are useful for managing not only mobile vehicles but also the cargo transported with such vehicles and dedicated subsystems that may be used for accomplishing the principal utility of the asset, such as the hoisting subsystem that may be used

5 in a "cherry picker" truck, or the refrigeration subsystem used in a refrigerated mobile asset. The data management system 10 allows a variety of different types of users to obtain detailed and timely information regarding each of the mobile assets, e.g., 12 or 26. By way of example, such users may include a transportation company 14 who owns and operates the remote assets, or may include original

10 equipment manufacturers (OEMs) that assemble the mobile asset and lease such assets to respective end users. The users may include a customer 24 or personnel of the transportation company and/or the OEM, personnel in an asset service center 22, personnel in a data center 18, the engineer or driver that operates each individual asset, or may include personnel empowered to enforce rules relative to

15 the prescribed use of the asset, e.g., personnel associated with governmental agencies, such as the Department of Transportation (DOT), Environmental Protection Agency (EPA) or similar agencies. The mobile assets, e.g., 12 or 26, may be equipped with a plurality of sensors for monitoring a plurality of operating parameters representative of the condition of the remote asset and of

20 the efficiency of its operation. The mobile assets, e.g., 12 or 26, may also be equipped with a GPS receiver 16 or other satellite-based or local navigation instrument for determining the geographic location of the mobile asset. Data regarding the location of the mobile asset and its operating parameters may be transferred periodically or in real time to a data base 18 by a data link 20, such as

25 a satellite system, cell phone, optical or infrared system, hard-wired phone line, etc. By way of example, the assignee of the present invention operates such a data center 18 at its Monitoring and Diagnostics Service Center (MDSC) in Erie, Pennsylvania. Affiliated with such a data center 18 may be one or more service centers 22 where the mobile assets are taken for repair and maintenance services.

30 As illustrated in Figure 1, the data center 18 and service center 22 may both be linked to a communications network, such as the Internet 15, by known types of data connections. Such links may typically be a computer interface

through an internet service provider. The Internet and World Wide Web provide a means for communicating between the data center 18 and service center 22. Furthermore, these facilities may also be in communication with the transportation company user 14 via an Internet connection. Customers 24 of the transportation company or other members of the public may further be in communication with these facilities through Internet links. Because the Internet 15 and known web page formats presently provide cost-effective means for communicating data and information in a multi-media format, such a global information network is one example of a useful communication tool for displaying and communicating the large amount of data that may be associated with the operation of a fleet of mobile assets, e.g., 12 or 26. It will be appreciated that the present invention is not limited to Internet-based communications since those skilled in the art will be able to apply the teachings of the present invention to various types of communication networks.

Figure 2 illustrates exemplary steps of a method 28 for managing a fleet of mobile assets that may be implemented by using a data management system 10 as illustrated in Figure 1. Each mobile asset may be uniquely identified, such as by an identification number, as in step number 30 of Figure 2. One or more identifiers may also be associated with the cargo being transported with the mobile assets, e.g., 12 or 26. For respective embodiments of either the fleet of locomotives 12 or the fleet of trucks 26, the operating parameters of each of the mobile assets may be monitored 32 by the on-board sensors. In one exemplary embodiment, such operating parameters are monitored in real time, and data related to these operating parameters is available for communication to a data center 18 whenever appropriate. The location of each asset is also determined 34, such as by using a GPS receiver or by otherwise identifying the mobile asset relative to a particular location along the route of the asset. Data regarding both the location and the operating parameters for each mobile asset, e.g., 12 or 26, may be periodically downloaded 36 from an on-board data file to a centralized data base 39. The data may further include environmental conditions to which each mobile asset has been exposed to during their operation. Example of such data may include temperature, barometric pressure, terrain topography, humidity

WO 01/84506

PCT/US01/14038

level, dust level, etc. In the event that a critical fault is identified 38 in one of the systems of a mobile asset, it may be preferred to download data from the mobile asset immediately 40 upon recognition of the fault. The timing of the download may also be determined based upon the availability and quality of the data link 20
5 between the mobile asset and the data center 18.

The database 39 located at the data center 18 may also include data representing inspection reports 42, maintenance records 44, and design information 46 related to the specific vehicles included in the plurality of mobile assets. For example, if a truck 26 is brought to a service center 22 for a periodic
10 inspection and maintenance visit, e.g., regarding its braking equipment, information regarding the results of the inspection and maintenance activities may be used to update the database 39 for that particular truck 26. It will be appreciated that in one exemplary embodiment, the service center may comprise an inspection station empowered to enforce laws and regulations relating to the
15 use of the vehicle. The database may also be updated 39 if the designer of the mobile asset provides any revised design parameters 46, such as a new part number for an upgraded component. The quantity of data in such a data base may be immense when considering the number of vehicles in some fleets, and when considering the amount of data that may be collected on a periodic basis
20 regarding the performance of each of the vehicles. However, the computing power of modern data processing equipment makes it relatively easy to analyze 48 such a database. Various data processing routines may be used to generate performance reports 50 regarding each of the individual assets or the fleet as an entirety. Statistical data 52 may be calculated to aid in the analysis of the
25 operating parameters of the fleet.

In order to effectively utilize the vast amount of data that may be available regarding a fleet of mobile assets, the output of the analysis 48 of such data must be effectively displayed and conveyed to an interested user 14. As suggested
above, there may be multiple users, e.g., users 14 and 24, interested in the data,
30 and the level of detail of interest may vary from time to time. The inventors have found that an Internet web page is an effective means for communicating such data and information. An Internet web page may be updated 56 to reflect the

WO 01/84506

PCT/US01/14038

performance reports 50, operating statistics 52, and/or current location map 54 for the fleet of mobile assets. One or more such web pages may be utilized with appropriate hyperlinks to additional web pages. By nesting related web pages, the level of detail presented to the user 14 may be controlled by that user. For

5 example, a location map 190 of FIG. 8 illustrating the current geographic location of each of the assets owned by a rail transportation company may include a hyperlink 192 at the indication of the location of each of the locomotives 12. Such a map may also illustrate the location of service facilities. In the context of a fleet of trucks, a road map may be generated showing the location of each truck

10 along with its route. By constructing such a map in a web site format, a hyperlink 192 may be provided on the map for each mobile asset to connect the user to an interconnected nested web page including additional information regarding that particular vehicle. For example, while the location of the mobile asset may be seen on map 190, by double clicking a cursor on the symbol for a single mobile

15 asset, the speed, destination, route, cargo information including cargo weight, fuel level, driver information, and other operating information for that mobile asset may be viewed on nested web pages. One user, such as a customer 24 of the transportation company, may only be interested in the location of the truck. Another user 14, such as a service technician employed by the railroad, may be

20 interested not only in the location of the locomotive but also in the amount of fuel on board or other operating parameter. Still another user, such as personnel associated with governmental agencies may be interested in ensuring that any vehicle under their jurisdiction is in compliance with the prescribed usage of the vehicle. Any such users, e.g., 14 or 24, can quickly obtain the information they

25 need by a simple point and click operation using well-known Internet browser technology.

Known search engine software technology may be provided 70 to allow a user to identify desired information related to the mobile assets 12 via the global information network 15. Access to an appropriate web page including the desired

30 information may then be provided via hyperlink directly from the search engine.

WO 01/84506

PCT/US01/14038

An Internet web page display used with the present invention may incorporate the full power of the multi-media capabilities of a global information network 15. For example, the location map 54 may include the use of color to indicate a readiness status for each mobile asset, for example, green for a properly functioning mobile asset, yellow for a mobile asset exhibiting an anomaly in one of its operating parameters, and red for a mobile asset having a critical fault. The user 14 of such information would be able to quickly assimilate a large volume of data and to have his/her attention directed to important portions of the data. Such a web page may also include links to additional pages including applicable governmental regulations, drawings of component parts, specifications, or operating and repair manuals or other design parameters 46. In some instances, it may be advantageous to include video information on such a web site, such as still or animated video produced by the operator of the locomotive and transmitted directly from the mobile asset to show the condition of a component. Such video information may be accompanied by live audio information, including speech from the operator, thereby allowing the user 14, the operator located on the mobile asset, and personnel at a service center 22 to conference regarding a developing anomaly. Communication over the global information network 15 using Internet Protocol allows packets of data to be communicated between different kinds of networks. The packets may consist of voice, text, video, audio or other types of data. The system 10 of Figure 1 is adaptable to make use of future platforms as they become available.

When a critical fault is identified 38, or an anomaly is found to exist 58 in one or more of the operating parameters, a service recommendation may be developed 60. Information regarding the anomaly 58, critical fault 38, and/or service recommendation 60 may also be uploaded 56 to an Internet web page. When appropriate, a user may be notified 62 that new or urgent information has been displayed on the Internet web page. The user may be notified 62 by an electronic mail message, telephone call, fax or other simple form of communication. The user may then actively interact 68 with the web pages that present data regarding the mobile asset of interest. Such interaction may include a request by the user for additional information. Such a request would be

WO 01/84506

PCT/US01/14038

transmitted to the operator of the mobile asset or other appropriate person via the global information network connection, and the response would be communicated in return.

5 The information available to the user on the Internet web page may also include information regarding services that are available 64 and/or a parts inventory 66 that may be important to any decision regarding a maintenance recommendation 60. Personnel located at a service center 22 may not only provide data for the user 14, but may also receive a communication from the user 14 regarding a planned maintenance activity, thereby facilitating the scheduling of
10 maintenance activities at the service center 22.

One exemplary advantage of the data management system 10 of Figure 1 and method 28 of Figure 2 may be appreciated by considering a three locomotive train 12 operating in a relatively flat terrain on its way to a mountainous section of a rail line. Because the three locomotives are operating at reduced capacity
15 along the flat terrain, the operator of the locomotives who may be physically sitting in the front locomotive may not be aware that a degraded condition has developed in the third locomotive. For example, a degraded cooling system may cause the third locomotive to throttle back to a reduced power output. Because the first and second locomotives are able to provide the necessary power, the
20 progress of the train is unimpeded. Should this degraded condition continue to go unnoticed, the train would be unable to negotiate the mountainous terrain that it is approaching later in the journey. However, on-board sensors on the third locomotive identify the degraded cooling condition and data related to the degraded condition is immediately downloaded 40 to the data center 18 to update
25 the data center database 38. Computers and/or personnel located at the data center 18 may analyze the data 48 and identify that the anomaly exists 58 and determine that a maintenance action 60 is recommended. For example, if a fan motor controller has developed a malfunction, a maintenance recommendation 60 to replace the control panel may be generated. A web page display showing
30 the location of the locomotive would then be promptly updated 56 to show the degraded condition, and the railroad maintenance personnel are notified 62 by an electronic mail message that is automatically generated at the data center 18. The

WO 01/84506

PCT/US01/14038

e-mail will include a Universal Resource Locator (URL) directing the maintenance personnel to an Internet web page including information regarding the degraded condition and the recommended maintenance activity. The maintenance personnel then view the available parts inventory 66 illustrated on another web page to verify the availability of the required control panel in a service center 22 located along the route of the locomotive 12. In this example, a user 14 is able to utilize the power of a global information network 15 web page presentation to quickly assess the importance of anomaly affecting one of a fleet of mobile assets and to assess various options for addressing such anomaly. For this example, the degraded locomotive may be repaired prior to the train becoming stalled on a mountainous section of the track, thereby avoiding a large out-of-pocket expense and a costly schedule delay for the transportation company. The speed of communication via the Internet and the breath of information that may be effectively communicated via an Internet web page make the system 10 of Figure 1 and the method of managing assets 28 of Figure 2 beneficial for a large fleet of mobile assets distributed over a large geographic area.

Access to an Internet web page including important information regarding a fleet of mobile assets may be restricted to only those users having appropriate authorization to access such data. For example, information derived from the analysis 48 of the data base may be displayed on a password protected Internet web page. Only authorized users, e.g., 14 or 24, would then be provided with the password necessary to gain access to the web page. Similarly, information received from a user and used to update the web page 56 may only be accepted as authentic if the user enters an appropriate password to confirm his/her identity. Other protection measures such as encrypting data may also be used. In some cases it may be desired to have at least a portion of the information displayed on an Internet web page be made publicly available. For example, it may be desirable to make the location map 54 for at least a portion of the mobile assets available for public viewing. In the case of a passenger and/or freight transportation company, the location of autobuses may be information that can be made available on a public Internet web page, whereas the location of freight

WO 01/84506

PCT/US01/14038

trucks may be limited to only specific industrial customers of the transportation company.

The present invention may further include a capability for predicting vehicle failure and for using such predictions to plan repair and maintenance work for each individual asset. Once data is collected from the mobile assets, it may be used to develop a variety of types of information regarding the mobile assets. Such a capability includes monitoring on-board systems parameter data transmitted from each vehicle as it is operating; determining whether any of the monitored data is out of a predetermined range; calculating trends for monitored data determined to be out of range; identifying any system fault; predicting when such system is likely to fail unless corrected; and predicting which, if any, system must be corrected to avoid vehicle failure, developing a service recommendation, and communicating the service recommendation via a communications network. An apparatus to accomplish such steps is generally identified by numeral 110 of Figure 3, and it comprises one or more communication elements 112 and a monitoring station 114. The communication element(s) 112 are carried by the remote vehicle, for example locomotive 12 or truck 26. The communication element(s) may comprise a cellular modem, a satellite transmitter or similar well-known means or methods for conveying wireless signals over long distances. Signals transmitted by communication element 112 are received by monitoring station 114 that, for example, may be the maintenance facility 22 or data center 18 of Figure 1. Monitoring station 114 includes appropriate hardware and software for receiving and processing vehicle system parameter data signals generated by locomotive 12 or truck 26 from a remote location. Such equipment, as illustrated in block diagram form in FIG. 4, comprises receiving element 116, processing element 118, and man-machine interface element 120.

Examples of suitable receiving element 116 include a satellite communications receiver or cellular communications receiver. Processing element 118 may comprise a processor, memory and modem or Integrated Services Digital Network (ISDN) adapter of a conventional personal computer or workstation coupled with software capable of executing the functions represented in FIG. 4. Suitable processing element 118 may include a diagnostic system as

WO 01/84506

PCT/US01/14038

described in U.S. Patent No. 5,845,272. Man-machine interface element 120 may include a monitor, keyboard, mouse, printer and/or other related I/O devices for enabling interaction between a human operator and processing means 118. Monitored vehicle parameter data received by receiving means 116 is
5 communicated to processing element 118 wherein it is processed in the manner shown in FIG. 5. It will be appreciated that in one exemplary embodiment, processing element 118 may be installed onboard the remote asset. In such embodiment, in lieu of transmitting raw data from the remote asset to the data center, the data will have been processed onboard by processing element 118.
10 This embodiment would be less vulnerable to data link outages that may occur from time to time or data link data handling capacity. Further, such embodiment would allow for informing the operator in real time of any appropriate actions that the operator should take in connection with the operation of the mobile asset.

Many vehicle system operating parameters are monitored, and trends are
15 calculated on a subset of those parameters, or on all of the parameters. Among the parameters which may be monitored for locomotives are ambient air temperature, train notch, total track and force power, total voltage, total amps, software versions, engine RPM, engine temperature, crankcase pressure, dynamic braking, battery voltage, and voltage and amperage for all auxiliary motors. For
20 other vehicles, such as trucks, other sets of parameters may be monitored. In one exemplary embodiment, data that may be monitored may comprise data from the vehicle "control system", including onboard diagnostics (OBD), speedometer electronic output, brake state and other data feeds available from various vehicles subsystems. The monitored data may be used to determine a respective mobile
25 asset "operating mode", as described in greater detail below. The monitored data may be accumulated or counted to determine the amount of time each respective mobile asset has been in any given operating mode, and to determine changes and severity level in the operational modes. Examples may include braking severity and severity of acceleration. Correction factors based on ambient conditions,
30 such as temperature, humidity, etc., may be incorporated to more accurately calculate the most suitable operational mode to be assigned. The processing elements may be configured to provide data useful to determine maintenance

actions appropriate to the actual operational conditions of any given asset.
Examples of the processing of such condition-based data may include respective
data processing routines for determining: remaining life of oil, filters, rings,
engine, brakes, etc. Other applications may include determining OEM used
5 vehicle certification criteria, supporting insurance actuarial modifications, etc.

One exemplary matrix for determining the operational mode of the mobile
asset may be as illustrated in Table 1, wherein a steady state condition may
correspond to meeting a respective set of rules, such as the following exemplary
set of rules:

10 Steady State = Stable engine block temperature, e.g., inferred from oil
temperature, Time of operation and ambient conditions for applicable vehicle
model; and/or Stable Coolant Temperature; & Not braking; & Not Accelerating;
& Not Shifting; & Not Climbing or descending

15 It should be noted that in the general case, each operational mode may be
derived from a multi-dimensional matrix. For simplicity of illustration, in Table
1, only a first dimension is listed. Other dimensions may comprise ambient
conditions, engine temperature state, vehicle weight, vehicular load including
wind and incline. For example a vehicle may be in the state Accelerate Lo/Up
20 steep hill/ into headwind/ hot ambient/ hot engine, which may indicate a life
consumption adjusting factor on the oil of ten times normal depletion, e.g., as
compared to depletion in an ideal steady state cruising. The adjusting factors
may be experimentally and/or empirically determined in combination with oil
analyses, dynamometer measurements, engine and vehicle models. Table 2
25 illustrates exemplary operational modes that may be accumulated to determine
the actual historical usage of the vehicle.

WO 01/84506

PCT/US01/14038

Table 1 Vehicle Operating Modes

Vehicle Mode	Vehicle Condition	M&D Integer Mode Value
OFF/Unknown	Transient	0
Idle	Transient	1
Accelerate – LO	Transient	2
Accelerate-HI	Transient	3
Braking – HI	Transient	4
Braking –LO	Transient	5
Idle with Aux.	Transient	6
Low Speed	Transient	7
Medium Speed	Transient	8
High Speed	Transient	9
High Speed Climbing	Transient	10
Descending	Transient	11
High Torque	Transient	12
Idle with Aux.	Steady State	13
Low Speed	Steady State	14
Medium Speed	Steady State	15
High Speed	Steady State	16
High Speed Climbing	Steady State	17
Descending	Steady State	18
High Torque	Steady State	19

Table 2. Actual Mobile Asset Usage History

Vehicle Usage History	
<ul style="list-style-type: none">• Starts<ul style="list-style-type: none">– Normal– Cold– Hot– Stalls• Load Cycles<ul style="list-style-type: none">– Day, Night– Weekend Usage	<ul style="list-style-type: none">• Hours<ul style="list-style-type: none">– City Driving– Idle Time– Highway– High Torque• Seasons<ul style="list-style-type: none">– Winter vs. Summer

Referring to FIG. 5, there is shown a block diagram of the operations performed by processing element 118 upon receipt of vehicle systems parameter data transmitted by communication element 112. As suggested above, some embodiments may allow for performing most or all of such processing onboard the mobile asset. Upon issuance of a transmission request from monitoring station 114, communication element 112 preferably continuously transmits the data and receiving element 116 preferably continuously receives the data. Using receiving element 116, processing element 118 monitors the data as indicated at 122. A first determination 124 made by processing element 118 is whether any of the data is outside of an acceptable range for any of the vehicle systems being monitored. If the processing element identifies out-of-range data, it executes a routine 126 to calculate whether the data suggests one or more trends suggestive of possible or actual impairment or failure of the vehicle systems being monitored.

WO 01/84506

PCT/US01/14038

The trends are calculated by comparing values for a given parameter over a period of time and comparing those values with historical data for identical vehicle systems. This enables rapid and accurate correlation of trending data with a dedicated fault occurrence experience database. The trends are preferably
5 calculated based in part on prior downloads collected in the database. The database is preferably continually updated and may be stored in the memory of processing element 118, elsewhere at the monitoring station 114, or off-site whereby it may be accessed on-line.

An example of a trend that may indicate a system fault would be a
10 crankcase overpressure trend from negative to positive. Such a condition may be suggestive of a cylinder or piston problem or excessive engine wear. Processing element 118 is preferably capable of linking the results of several observed trends to more precisely diagnose a problem. For instance, the aforementioned crankcase overpressure trend may be coupled by processing element 118 with an
15 observed trend in electronic fuel injection parameters to more clearly determine the cause of the problem.

Once an unfavorable trend is detected, it is identified by processing element 118 with a stored fault code as indicated at 128. Fault codes corresponding to a wide variety of faults may be stored, and trends may be
20 calculated for some or all of them. Examples of faults that may be categorized include, without limitation, overcurrents, flashovers, crankcase overtemperatures, crankcase overpressures, communication failures, electrical ground failures, air conditioner converter failures, propulsion system faults, auxiliary system faults, propulsion motor faults, auxiliary motor faults, auxiliary system charging faults,
25 engine cooling system faults, oil system faults, control wiring faults, and microelectronics faults.

As indicated at 130, following identification and categorization of a fault, processing element 118 then prioritizes the fault. The fault prioritization process involves comparing the identified fault code with a historical fault database
30 whereby the fault may be classified as critical, restrictive, or both critical and restrictive. A critical fault is one that will cause imminent vehicle shutdown if not immediately corrected. Examples include, without limitation, serious engine

WO 01/84506

PCT/US01/14038

problems, main and auxiliary alternator grounds, coolant or oil pressure loss and microelectronics failures. A restrictive fault is one that, although not likely to cause imminent vehicle shutdown, impedes vehicle performance. A restrictive fault is likely to become progressively worse and may degenerate into a critical fault if not timely addressed. Examples of restrictive faults include, without limitation, an overheated engine or the loss of one or more cylinders, each of which deplete horsepower and may cause other strain on the engine or other systems of the vehicle.

After a fault has been prioritized, processing element 118, as indicated at 132, predicts which vehicle system is likely to fail. Additionally, processing element also predicts the estimated time of failure, preferably expressed as an approximation of the distance (in miles or kilometers, for example) the vehicle can be safely operated before it must be shopped prior to failure or the amount of operating time prior to failure. The optimum time the vehicle should be shopped is determined by resorting to the relevant trend data for the identified fault and comparing that data with a projected time-of-failure knowledge base which has been inputted into the database for the calculation.

As indicated at 134, processing element 118 is also preferably programmed to instruct a human operator at monitoring station 114: (1) whether to correct the fault prior to scheduled maintenance of the vehicle, (2) when to correct the fault, (3) what fault to correct (preferably including what parts or components of the vehicle to repair), and (4) the optimal facility at which to correct the fault. The optimal repair facility is dependent upon the proximity of the vehicle to a facility and whether the facility has the capability, including parts, service equipment and personnel expertise necessary to repair the fault. Personnel at the service center are alerted to the planned arrival of the mobile asset at step 135.

The data monitored at step 122 may include data regarding the cargo 25 being transported by a mobile asset 16, including cargo weight onboard the vehicle. Such data may be used to develop information regarding the cargo, and such information may be distributed via the global information network 15. A web site may be developed including information of interest to the owners of the cargo 25, such as the location of the cargo, and such owners may be provided

WO 01/84506

PCT/US01/14038

access to the respective web pages via secured or unsecured web access via the global information network 25. A route map such as is illustrated in FIG 8 may be posted on the global information network 15 to illustrate the location of various cargo loads. Two-way communication may be provided between a controller 24 for the operation of the mobile assets 16 and the owners 14 of the cargo 25.

The apparatus and method of the present invention may also include improvements in the processing of a mobile asset through the repair facility 22 of FIG. 1 when maintenance/repairs are necessary. FIG. 6 illustrates in block diagram form a system for performing an inspection of a remote inbound vehicle, and for planning the maintenance/repair activities on that vehicle before it arrives at a service location. Such a process begins by identifying an inbound mobile asset, such as a locomotive 12, and its scheduled maintenance date 141. The maintenance schedule may be maintained on a computer in the service center 22 or at any other convenient location accessible through the global information network 15 of FIG. 1. Prior to arrival at the shop, a signal is sent to the communication element 112 of FIG. 3, such as an on-board computer, and instructs it to transmit data on all monitored parameters 142. The service personnel and service center computer have access to a vast amount of historical and experiential data pertaining to the systems used in various locomotive models, and they use such data according to an algorithm to determine which maintenance and repair operations are required, advisable, and optional 143 for the particular inbound locomotive. A report is generated and sent to the owner of the asset, such as via an Internet web page, to identify such operations while the vehicle is still inbound. Decisions 144 are made as to which of the advisable and optional maintenance operations will be performed when the vehicle arrives at the shop. Maintenance personnel may then begin preparations for the repair activities 145 prior to the mobile asset arriving at the repair facility. The system envisions beginning repair operations 146 immediately upon arrival of the asset 12 at the service location 22, obviating the requirement of a time-consuming inspection and decision-making process after arrival in the shop. Information regarding the status of a service activity may also be distributed via the global

WO 01/84506

PCT/US01/14038

information network.15. Once a repair is completed and the vehicle is returned to service, performance data may again be monitored 147 to conform a satisfactory completion of the service activity, and information regarding the satisfactory completion may be distributed via the global information network.

5 The step 143 of determining which operations are recommended may include the analysis process illustrated in FIG. 5. Trends are calculated 126 by comparing values for a given parameter over a period of time and comparing those values with historical data for identical vehicle systems. This enables rapid and accurate correlation of trending data with a dedicated fault occurrence
10 experience database. The trends are preferably calculated based in part on prior operating data that has been downloaded and collected in the database. The database is preferably continually updated and may be stored in the memory of the shop computer or off-site at data center 18 where it may be accessed on-line via the network 15 of FIG. 1.

15 The present invention enables service personnel to reliably and quickly retrieve a vast amount of archived information directly onto the job floor, either via a kiosk 21 located within the service facility 22 and/or with portable hand-held communication and display units 23 that the service personnel can take with them right to the locomotive 12. Such data portals 21,23 may communicate to a
20 central computer via electromagnetic signals, such as RF signals, or on-line via the Internet or via an intranet of the service provider. The data portals advantageously display the information directly at the work site location. It will be appreciated that the present invention contemplates the use of mobile wireless, web-access devices that could directly access the intranet of the service provider.

25 Electronic Service Delivery (E-izing) as contemplated by the present invention is a broad term used to describe the result of many applications to be utilized at a service application site 22. It involves streamlining and standardizing multiple servicing processes, as well as providing the users with all the information they need to maintain and repair a product on location. A first data
30 portal may be a kiosk 21, e.g., a PC-based information stand that contains all the technical and safety information that is currently available in hard copy. Information is made conveniently available at the click of mouse, the touch of a

WO 01/84506

PCT/US01/14038

screen, a voice command, etc. A second portal may be a handheld device 23 that could utilize the kiosk 21 as its hub and may be used for displaying real time information relevant to the tasks involved in inspecting and repairing the product 12. The present invention may further enable the display of service-related

5 information on a monitoring board to allow service personnel to quickly and accurately know on a real time basis the status of every piece of equipment being serviced at the service site 22 or at other sites. By way of example, the information transmitted through each of these portals 21,23 may be technical

10 information available in hard copy but enhanced through suitable multimedia applications, such as audio and/or visual drill downs, and/or wizard applications that empower the service personnel to make uniformly correct decision across all the service sites.

The electronic data delivery system of the present invention allows for improving field service operations by applying e-Business technologies to replace

15 manual paper based processes. The business benefit will include improved availability of the asset by reducing the cycle time of the repairs and to have higher quality repairs. In addition, other processes, such as inventory management, will be improved to have the correct part available when needed.

As shown in FIG. 7, a work order flow module 150 is used to control the

20 various repair processes. One exemplary step or action is to develop an accurate work scope 152 in response to a service recommendation, such as is developed at step 143 of FIG 6. Information will be electronically accumulated to develop the work scope, and at least part of this information may be communicated via the global information network 15 as illustrated in FIG 1. By way of example and

25 not of limitation, the information may include the following: performance information from the product 154, repair history information 156, information from the customer 158, required and optional repairs 160, and information learned during inspection 162.

WO 01/84506

PCT/US01/14038

5 The next step is to use the work scope to determine the sequence of repairs 164 based on customer need 158, materials availability 166, and resource availability 168, and drawing upon customized or standard work steps stored in a data warehouse 169. The process will provide service personnel with the information needed to determine the order of repairs and to communicate to the craft workforce.

10 The execution of the repairs will take place 170 by directing the worker via the data portal 21,23. The work order 172 provided to the worker via the data portal will direct the worker through each repair that is needed. The completion of each step is recorded via the data portal to update the data warehouse 169 and to provide real-time repair status information via a monitoring board 174. A feedback loop will be used to update the current production configuration. The work order 172 will provide a more controlled and accurate repair process.

15 The information obtained from the work order completions will allow for monitoring the status of the repairs and will also allow customers 176 to get real-time status of their product in the repair cycle. The data will also be used to improve reliability of the product and to compare and improve field shop processes across field sites. Communication of such information can be efficiently accomplished via the global information network 15 of FIG. 1.

20 In operation, consider a scenario of how the present invention improves productivity and performance in a plurality of locomotive fleets by leveraging advanced communication, diagnostic, scheduling, data handling and locomotive repair technologies, thereby increasing train on time and up time. Envision a train operating along a rail route. Diagnostic modules are regularly monitoring 25 the various subsystems of the locomotive to ensure operations stay within set parameters. For example, the onboard system may be configured to maintain optimal fluid conditions to maximize oil life without sacrificing either engine reliability or locomotive performance. If the onboard monitor recognizes trends outside predefined limits, the fluids management system highlights the abnormality on the locomotive indicating a potential concern. Based on the 30 severity of the concern, the system may automatically call the remote diagnostics service center with the necessary data to confirm the diagnosis. Expert systems

WO 01/84506

PCT/US01/14038

and/or expert personnel evaluate whether a faulty condition is developing outside of the normal boundaries and a corrective action may be proposed and communicated via a global information network. The recommended action may be supplied directly into the train control system. At this time, the data center or
5 service personnel may evaluate the most logical repair location in terms of various criteria, such as train proximity, parts, repair equipment availability, manpower availability, etc. The service recommendation automatically triggers the creation of an electronic work order 172 within a service shop management system. A notification is then sent, such as via an e-mail message or by providing
10 information on an Internet web page, to the service team detailing the parts and labor necessary for a timely and accurate repair.

The recommendation also sets a proximity trigger to notify the service shop when the locomotive is within a certain distance of the repair location. As soon as the service team receives information about the necessary repair, team
15 members gather or reserve the parts, equipment and personnel needed to perform the corrective action 145. The approaching locomotive may automatically forward a notification message to the service repair shop indicating that it is approaching. Alternatively, the service personnel may utilize a search engine 70 to identify the proximity of locomotives to their respective service shop. An
20 example of a web page presenting such information is shown in FIG 9. A hyperlink may be provided on this screen to connect the user with nested web pages showing more detailed information regarding a particular locomotive. Upon arrival of the train to the scheduled repair station, the locomotive is repaired by a service technician equipped with the necessary parts and the
25 wireless handheld device 23 that contains the appropriate maintenance, safety and training instructions for the repair to be accomplished safely, quickly and accurately. Furthermore, plans may be made in advance of the train arriving at the service shop for the continued transportation of the cargo being transported by the train, thereby avoiding excessive delays in cargo delivery.

30

WO 01/84506

PCT/US01/14038

The service technician informs the service shop management system that the operation has been completed. The train continues on its route without delay. During its journey, the technology service center monitors the latest downloaded data 147 to ensure the problem has been corrected.

5 The global information network 15 facilitates the effective communication of many forms of information for improving the management of a plurality of mobile assets, e.g., 12 or 26. A web site accessible through the global information network 15 and using standard Internet Protocol can present information in a variety of formats to satisfy the unique requirements of a variety of users. Such
10 information may include failure predictions, service recommendations, the availability of service shops 22, parts and personnel, the location of a mobile asset or its cargo 25, performance data, audio and video information produced on-board the mobile asset, two-way communication between a mobile asset and a fixed remote location 14,18,22,24, statistical information regarding the
15 availability of the assets, repair status information, etc. It will be appreciated that the present invention need not be limited to fixed remote locations since in some instances some aspects of the management of the fleet could be conducted from a mobile asset itself, such as a mobile data management trailer and the like. Web site technology, including interconnected web pages and hyperlink connectivity,
20 may be used to present multi-media information. Example web pages from a web site created as part of the system 10 of Figure 1 are illustrated in Figures 10-12. Figure 10 illustrates an exemplary web page providing hyperlinks to a variety of design documents for a locomotive. For example, hyperlink 194 takes the user to an interconnected page having a specific troubleshooting guide. That page is
25 illustrated in Figure 11. The exemplary Web page of Figure 10 also includes the capability for the user to conduct a search, such as by inputting a specific vehicle number identifier 196. Figure 12 illustrates another web page whereby best practices are shared by the posting of messages by various users. Here, again, various search capabilities are provided to enable the user to use the information
30 effectively, and various hyperlinks provide easy connections to other associated web pages and functions. As bandwidth capabilities increase and become less

WO 01/84506

PCT/US01/14038

expensive, the benefits of the disclosed invention will become even more important.

FIG. 13 shows an exemplary web page that may be used for meeting a contractual obligation to report out on usage, e.g., seasonal usage of a fleet of mobile assets. The user logs into a profiler web site with an appropriately authorized password and identification code. The graphical user interface (GUT) is configurable to flexibly allow for making various comparisons of actual usage of the fleet of mobile assets. For example, the comparisons may be default comparisons set by the data center, or may be based on comparison requests set by the user and may accommodate general or Ad Hoc comparison requests. The user may choose from an interval menu to choose the time span to be displayed, e.g., fleet data based on last year usage for a given site, or the time span may comprise the last ten years of fleet data. If desired, the user may select from an interval subset menu and select various comparisons, e.g., seasonal comparisons, summer, winter, fall, spring, or other criteria, such as weekdays, weekends. The user may also choose from an aggregation menu to choose multiple comparisons as a function of mobile asset number, or fleet number or any other criteria helpful to that user. For example, the user may be authorized to monitor only a fleet under her managerial responsibility but may not be authorized to monitor fleets operated by other fleet managers. The user may also select calculation of a duty factor that may be defined as percentage of available output made during the interval. Upon completion of the selections, the profiler web site generates a plot and/or report, as customized by the user. FIG. 14 illustrates an exemplary "pie chart" plot that indicates the amount of time a given set of mobile assets may have spend in respective operational modes, such as city driving, highway driving, idling, parked, cruising, accelerating, decelerating, loaded, unloaded, braking, hot weather, cold weather, etc.

FIG. 15 shows a flowchart of a method for managing a land-based vehicle in accordance with one aspect of the present invention. Subsequent to start step 202, step 204 allows to provide a database configured to store a rule base for governing prescribed usage of a vehicle and for gathering predetermined data indicative of the actual usage of the vehicle. The rule base may include

WO 01/84506

PCT/US01/14038

governmental rules and regulations that prescribe usage of any given vehicle. For example, the rule base may indicate the cargo weight limit of a tractor trailer. The rule base may indicate the level of emissions allowed for a given type of engine. The rule base may indicate the type of fuel allowed for a given type of engine. The rule base may indicate the number of hours the operator of a vehicle may operate that vehicle. It will be appreciated that the rule base need not be limited to government-mandated rules. For example, the owner of the vehicle could provide their own rules for governing actual usage of the vehicle. For example, the lessee of the vehicle may be under contractual obligations to the owner of the vehicle regarding the use of the vehicle. Any such contractual obligations could be included in the rule base. As the vehicle is in motion and using onboard sensors, step 206 allows to monitor at least one parameter relating to the use of the vehicle. It will be appreciated that the type of sensor to use will vary depending on the particular parameter being monitored. In one exemplary embodiment, one or more onboard weight sensors may be used to monitor cargo weight. Another suitable sensor may be used for monitoring engine emissions. Further, data relating to vehicle equipment identified in the rule base may be monitored. For example, the rule base may identify the tires or the brakes of the vehicle as requiring automated monitoring to ensure compliance of the vehicle with safety-related regulations. Step 208 allows to transmit data relating to the vehicle parameter for each vehicle to the database. Step 210 allows to process the transmitted data relative to the predetermined data to determine whether or not the actual use of each vehicle is compliant with the prescribed usage of the vehicle as set forth in the rule base. Prior to return step 214, step 212 allows to notify a user of the vehicle of any non-compliance. For example, the prescribed usage of the vehicle may indicate a cargo weight limit of two metric ton. If the actual cargo weight exceeds that limit, then the operator of the vehicle may be notified to take corrective action.

FIG. 16 shows a flowchart of a method for managing a land-based vehicle in accordance with another aspect of the present invention. Subsequent to start step 220, step 222 allows to provide a database configured to store a rule base for governing prescribed usage of a vehicle and for gathering predetermined data

WO 01/84506

PCT/US01/14038

indicative of actual use of the vehicle, wherein the database is part of an inspection site. For example, in one exemplary embodiment, the inspection site may be associated with governmental agencies empowered to enforce rules and regulations regarding usage of the vehicle. It will be understood, however, that

5 the inspection site need not be associated with any governmental agencies since it is contemplated that private service providers could operate the inspection station for their own business purposes and/or on behalf of the government. As the vehicle is in motion and using onboard sensors, step 224 allows to monitor at least one parameter relating to the use of the vehicle. Step 226 allows to transmit

10 data relating to the vehicle parameter including a unique identifier for each vehicle to the inspection site as the vehicle approaches the site. It is contemplated that inspection of the vehicle "on the go", i.e., with minimal or no stoppage of the vehicle at the inspection station, will simplify the logistics of presently known time-consuming and cumbersome procedures. Step 228 allows to process the

15 transmitted data relative to the predetermined data to determine whether or not actual use of each vehicle is compliant with the prescribed usage of the vehicle as set forth in the rule base. In response to processing step 228, the vehicle could be flagged to stop at the inspection site in the event of non-compliance with predefined items of the rule base. For example, some of the items may involve

20 safety issues that require immediate correction, such as braking equipment malfunctions or lack of prescribed maintenance, tire wear, excessive cargo weight, etc.

FIG. 17 illustrates an exemplary schematic of a system 400 that may be used for practicing aspects of the present invention. As shown in FIG. 17, vehicle

25 26 includes a data concentrator unit 402 (DCU) electro-magnetically coupled to receive signals from a suite of sensors onboard the vehicle, e.g., truck and/or tractor-trailer. As suggested above, the suite of sensors may include weight sensors, emission sensors, cargo-tag devices, operator log data, etc. As illustrated in FIG. 17, the coupling between the suite of sensors and the DCU is performed

30 wireless. It will be understood that in some applications suitable wiring could be provided to transmit the sensor signals to the DCU. In one example, a database

410, part of an inspection site 412, receives data from DCU 402 as the vehicle 26 approaches or passes along inspection site 412.

FIG. 18 includes an exemplary installation of sensors for monitoring cargo weight onboard the vehicle. As shown in FIG. 18, a weight sensor 500, such as a force transducer or piezoelectric transducer, is mechanically coupled to the suspension system 502 of the tractor-trailer through a rod or pin 504. A transponder tag 510 receives the electrical signal from sensor 500 and transmits that signal to the DCU 402 (FIG. 17) that may be installed in the cab of the truck. The DCU can be optionally configured either to convert onboard the respective raw sensor signals to a weight value using a suitable algorithm and calibration function (as may be stored in a look up table) and/or transmit the converted and/or raw data to an off-board site for processing at that site. The transponder tag may comprise commercially-available devices, e.g., Radio Frequency Identification (RFID) devices such as those used for Electronic Data Identification (EDI) tags or automated toll systems. The transponder tag can be programmed to transmit cargo weight information and any other parameters indicative of the actual use of the vehicle to the inspection station for DOT or any desired business specific use. It will be appreciated that the present invention is not limited to transponder tag devices since other commercially available wireless technologies may be used if more appropriate in a given context. Examples of such technologies may include cellular communication devices, radio frequency (RF) devices, private radio, etc. The system may also provide for notification to users of any parameter having a value outside specification. Further, the system may be configured to proactively warn users, such as the vehicle operator of non-compliant conditions before the parameter actually departs from the specified value. It will be appreciated that in one exemplary embodiment, the system of the present invention may be integrated with other DOT mandated logging systems such as pre and post trip inspection checklists, and driver logs. Such data may use the same infrastructure of data storage, onboard user interfaces, communications to off board sites, e.g., DOT sites, and other government or business related applications.

WO 01/84506

PCT/US01/14038

As suggested above, in one exemplary embodiment the force transducers may generate a respective signal which changes as a function of cargo weight. The raw data may be collected either onboard the vehicle, off board or both, where a suitable signal conversion algorithm will be applied. As will be readily understood by those of ordinary skill in the art, such algorithm will be configured to take into account information about the truck design, such as weight of the vehicle itself, weight distribution and response of the force transducers to infer the weight of both the cargo and total system. In one exemplary embodiment, the signals indicative of weight may be subject to a calibration process previously agreed to by the relevant governing organizations. It is contemplated that the process of collection of parameters indicative of vehicle use will be approved by the governing authorities to replace some or all of the manual inspection processes presently done for determining compliance of the vehicle with any applicable rules and regulations. Such information in conjunction with vehicle identification, waybill, and log data will be communicated through wireless means, such as RFID devices and other Radio Frequency Data Collection (RFDC) devices without the vehicle having to stop at the inspection station. It is contemplated that the system of the present invention could be implemented at toll booths or independently in "truck only lanes". It is believed that the system of the present invention will relieve congestion in truck routes caused by the need for regular inspection events. It will improve safety by proactively preventing out of compliance conditions. It will facilitate collection of apportioned tariffs and safety compliance. It will reduce fines for operators while improving asset productivity.

FIG. 19 illustrates an exemplary arrangement of a transponder tag 510 including a power source 512, such as a battery, an antenna 514, an input module 516 for receiving sensor signals, an analogue-to-digital (A/D) converter 518, a processor 520, memory 522 and a suitable transceiver 524. In one exemplary embodiment, memory 522 is configured to store a look-up table that would convert the raw sensor signal to a weight indication. The values of the look-up table may be experimentally and/or analytically derived using techniques well-understood by those of ordinary skill in the art. A visual display indication 526

WO 01/84506

PCT/US01/14038

may be provided to the operator of the vehicle to notify that user of noncompliance. It will be understood that Web-based communication techniques may be used to transmit the collected data to other interested users, such vehicle owner, maintenance operations, etc. In one exemplary embodiment, transceiver
5 524 would be responsive to a signal from a respective interrogator at the inspection site. Thus, when a vehicle equipped with the system of the present invention cruises through or along the inspection site, parameter data is automatically transmitted to the database. As suggested above, the database may be part of the inspection site wherein such data may be processed using well-
10 known correlation techniques to determine essentially in real time and on the fly whether any given vehicle is compliant with a rule base that prescribes the use of the vehicle. It will be understood that the present invention is not limited to the tag transponder illustrated in FIG. 19 since it will be apparent to those skilled in the art that other tag devices including passive tag devices can be used for the
15 purposes of practicing the invention.

Below are listed various exemplary embodiments that may be particularly suitable for on-road vehicles, such a fleet of trucks, autobuses, taxi cabs, etc . In one exemplary embodiment, the system would include a display device configured to display a routing for the driver that identifies which locations to
20 stop at for "refueling" of the vehicle. The routing would identify the respective locations applicable to the route being driven by the driver for a given opportunity. The refueling could simply involve those locations which have a competitive contract price per gallon for fuel.

In another exemplary embodiment, the system would include a
25 diagnostics routine that would help prevent air brake inspection failures. As will be appreciated by those skilled in the art, air brake inspection failures is believed to be the leading source of DOT fines involving commercial vehicles. Thus, this routine would indicate the wearing of disc pads and linings. By using standard sensor devices, it would also provide information on the air pressure level in the
30 air lines and air-compressing equipment. It would also indicate when the brake cable is no longer functioning.

WO 01/84506

PCT/US01/14038

In still another exemplary embodiment, incentives or awards, conceptually analogous to "Frequent Filler Miles", may be issued to the drivers to entice such drivers to come to preferred service stations and give them frequent filler miles toward personal vacations, awards (discounted air line tickets, hotel, etc.). The service station would be equipped with a suitable wireless data transfer device so that when the truck pulls up to the pump station, the diagnostic information would be uploaded to the central computer. It is contemplated that the truck tires may be positioned to rest on an optical tire-wear reader which records tire wear and inflation. In case of inadequate inflation and/or excessive tire wear, the diagnostic routine would provide in real time corrective actions to the operator and possibly avoid a road failure. It is further contemplated that the truck may be fitted with a quick oil connection which allows flow of oil to suitable oil viscosity and quality measuring devices, before the operator shuts off the engine. Similarly, information about idle performance may be recorded while the truck is being refueled.

It will be appreciated that the system and techniques of the present invention would allow for enhanced "On-Time" delivery service. This service is now achievable by accurately determining and coordinating GPS-based locations for truck and rail interactions to improve load and/or driver hand-offs and schedules, especially when they may have been some delays due to *force majeure* events.

It is believed that the system and techniques of the present invention may allow the OEM to issue extended warranties for the mobile assets. For example, assuming the operator of the asset is in compliance with the condition-based service and monitoring and diagnostics services, the warranty period may be extended to, for example, up to three times the standard mile coverage. Further, the users of the vehicle may now have the ability to operate their vehicle in previously non-attainable zones because of the enhanced operational characteristics derived from having clean air filters, oil with proper lubricity, well-tuned engine, etc., due to the condition-driven maintenance. It is believed that in some sport utility vehicles, a 35% improvement in fuel consumption may be achieved as a result of such condition-driven maintenance. It is believed that

WO 01/84506

PCT/US01/14038

vehicular leasing companies may greatly benefit from the various aspects of the present invention.

It is contemplated that the system may further include hardware and software configured to provide profile-driven marketing to users of the vehicles.

5 Such marketing may take advantage of smart private-label credit or debit cards as an exemplary medium to store coupons, incentives and other marketing benefits. Tracking of utilization of the vehicle and utilization of the related credit card and generated bonus "gifts" incentives and discounts either in conjunction with using

10 fleet purchasing agreements or simply taking advantage of private advertising which may produce direct revenue for the respective business entities that operate the respective fleets of mobile assets. Examples of such profile-driven incentives may be as follows: A map appears at the time of night when a given driver usually eats dinner. The map may provide directions to a restaurant near the fleet fuel depot where that driver can get a free dessert with her dinner purchase.

15 Utilization of the coupon results in a transaction fee to the entity. Fueling at the depot results in a bonus to the entity. Data is collected to better target the incentives. For example, the data center may have been previously informed that a given driver is member of the American Automobile Association (AAA) and the data center may automatically deliver to that driver a list of AAA discount

20 hotels when that driver is on route to visit grandma.

WO 01/84506

PCT/US01/14038

As suggested above, in one aspect of the present invention, the actual mobile asset usage history may be based on a plurality of measured and or calculated parameters. Table 3 below provides an exemplary list of such parameters.

<u>- Actual Mobile Asset Usage History</u>
• <u>Measured Parameters</u>
Starts – (e.g., Normal, Cold, Hot, Stalls)
Hours – (e.g., City, Idle, Highway, High Load)
Load Cycles – (e.g., Day, Night, Weekend)
Speed – (e.g., Engine, Vehicle)
Braking – (e.g., Number of Times, Force)
Environment – (e.g., Temperature, Barometer, Location, Elevation, Weather Climbing/Downhill)
Engine Parameters - (e.g., Temperature, Oil Pressure, Voltage/Amperage)
Fault Logs
Mileage – (e.g., Trip, Total)
• <u>Calculated Parameters</u>
Acceleration
Deceleration/Braking Level
Instantaneous/Cumulative Fuel Use
(e.g., Per Hour, Per Driver, Per Mile)

Table 3

In another aspect of the present invention, trending history may be used for estimating the time before a road failure occurs. Table 4 below lists exemplary criteria that may be used for using the trending history of the mobile asset.

• <u>Trending/History</u>
Trend measured and derived values to predict faults
Time under load – (e.g., Low, Medium, High Load)
Time used when not properly maintained
Time used when condition-based maintenance is used

Table 4

WO 01/84506

PCT/US01/14038

In another aspect of the present invention, the maintenance history of each mobile asset as exemplarily listed in Table 5 is reliably and quickly made available to authorized remote users for a multiplicity of uses as exemplarily listed in Table 6 below.

– Exemplary Maintenance/Service History
Fuel
Oil Change/Filters
Repair, e.g., brake repair, engine repair
Diagnostics for Faults/Repairs
Prognostics for Anticipated Faults

Table 5

– Exemplary Uses of Information
Insurance
Identity Bad Actors/Repeat Offender for Repairs/Maintenance
Asset management
Resale of asset
Maintenance planning
DOT compliance
Condition-based maintenance
Asset history to evaluate needed repairs
Ordering parts and components for repairs
Tracking of vehicles and freight
Service contracts performance
Warranty claims
Leasing contracts
Better knowledge of Lease Residual Value

Table 6

In another aspect of the invention, various data may be timely and reliably communicated to distinct users generally remote from one another to greatly facilitate management of a fleet of remote assets. Table 7 below provides various exemplary actions that are greatly facilitated by the present invention.

– Remote monitoring
Asset Management
Instructions for Repair
(Nearest recommended repair/facility)
Remote Lock/Unlock/Prevention of Starting
Text, video and audio to driver

Table 7

In yet another aspect of the invention, onboard processing of data may be conducted to facilitate communication of data from the mobile asset to the data center. Examples of such on-board data processing are illustrated in Table 8 below.

On-Board Data Reduction
(Calculations/Trends/Fault Reporting/
Selective Data/Request only data, Vehicle
Set Points (Speed Governors))

Table 8

As suggested above, condition-based dynamic maintenance planning and the utilization of such dynamic maintenance planning allows for better assessing the residual value of the mobile asset. In general, such condition-based maintenance planning allows for establishing a cost/benefit evaluation of the mobile asset for a proposed future plan of use in light of the state of health of the mobile asset. For example, assuming the mobile asset is leased, then at the time of expiration of the lease, it would be useful to the OEM to know for each mobile asset how that individual asset was operated and maintained. If the asset was appropriately maintained, even though the asset was heavily used, then the residual value of that asset may be comparable or higher than the residual value of another asset with more moderate use but lacking a fully compliant maintenance program. Another potential aspect would be the utilization of such dynamic maintenance plan to manage aggregate purchase agreements. For example, automatically instructing the driver to have the mobile asset serviced at a particular preferred service shop, part of a chain of service shops, with which the fleet operator has previously negotiated preferred discount rates.

WO 01/84506

PCT/US01/14038

Mobile Assets Information Services

In another aspect of the present invention, the fleet data management tools of the present invention allow for providing enhanced services in connection with the fleet of remote assets by:

- 5 • Enhancing residual value of the asset by retrofitting data collection and processing devices to provide various data management services
- Enhance initial value of the asset by inclusion of such devices as original equipment

As suggested above, such data management services may include some or all of the following services:

- 10 1. Electronic and remote hosting of computer-readable maintenance records in support of compliance with governmental agencies, e.g., Department of Transportation (DOT), condition based maintenance planning, historical asset utilization
- 15 2. Usage profiling, such as may provided by accurately determining actual usage of any individual asset, e.g., monitoring, as a function of time, available control system data such as tachometer, odometer, fuel flow, and/or environmental parameters such as temperature, altitude, humidity, etc. The usage profiling may be performed in conjunction with host data archival
- 20 services used in support of various processes encountered during the operation of the fleet of assets, such as fleet maintenance scheduling, engine optimization for fuel efficiency, compliance of driver sleep and/or speed requirements, logistics planning and may include information from terrain and/or weather maps where the vehicle has traveled.
- 25 3. Value added services based on some or all of the preceding stored knowledge, with or without the assistance of processing or expert systems that may be developed in conjunction with the gathering of historical performance data to establish data-driven signatures or triggers for maintenance escalation.

WO 01/84506

PCT/US01/14038

4. Such systems may include:
- Storing onboard and/or off board engine or other subsystem related models
 - trending of measured and derived parameters and comparison to expected values to indicate anomalous conditions
 - Exceeding dynamically calculated maintenance intervals for use in operational changes
 - Scheduling maintenance and/or Pre-ordering needed parts for remediation and improvement.
 - Maintenance plans optimized for the fleet as opposed to just a single vehicle.
5. Non-maintenance related information services may include some or all of the following:
- Use of position and usage information in support of logistics both track and trace and match load requirements
 - Interaction with aggregate purchase agreements to direct equipment operators to outlets for the covered material
 - Virtual real time data messaging to/from driver
6. Basic remote control of remote assets via secure communication such as
- Locking or unlocking of access doors/ windows
 - Preventing vehicle start
7. It is contemplated that such services could be provided as stand alone service contracts in association with purchase of enabling retrofit of already deployed assets or in connection with deployment of new models. Alternatively such services could be provided as part of contract service agreements or in conjunction with delivery of performance guarantees and full scope leasing arrangements. In one exemplary embodiment, the assignee of the present invention may advantageously leverage domain knowledge created through its GE Fleet Services or in connection with commercially available leasing services, e.g., Penske Truck leasing, to create a business process to be electronically-enabled for application in private fleet garages.

WO 01/84506

PCT/US01/14038

In operation, the system and techniques of the present invention are believed to provide the following:

1) A combination of devices performing data concentration, data communications, data reduction, data processing, archival and marketing to provide the following:

- 5 • Data acquisition onboard of mobile assets to gather, store and preprocess data from the electronic control systems, additional sensors (GPS, ambient conditions and others), and accessory subsystems such as "cherry pickers" or drilling rigs.
- Such system to be remotely upgradable in software and/or diagnostic algorithm tuning parameters
- 10 • Such system to support modifications of controls set points such as governor settings based on central or distributed decision making by experts or the system itself.
- Such data processing configured to identify anomalous conditions that may require escalation and communication either through
- 15 annunciation in the cab, remote real time communications or periodic data dumps at properly designated way points
- Communications capabilities with on board real time system using GPS, cell phones, satellite-based communications, etc.
- Radio Frequency (RF) (both long and short range), Infrared (IR) for
- 20 wireless communications at way points (during fueling for example)
- Wired functionality at service shops
- Remote data center or centers aggregating data, processed data, fleet information, dynamically revised models and anomaly triggers, off board expert systems
- 25 • To create operations and maintenance action recommendations to be communicated through, phone, pager, e-mail or other feedback systems including direct interaction with the data concentrator or its communications modules

- 2) It is believed that the system and techniques of the present invention allow the assignee of the present invention to provide more timely and cost effective services for managing a fleet of remote assets, including leasing of a fleet of mobile assets by providing the following:
- 5 • Improved driver satisfaction and compliance of maintenance of the asset which directly improves the residual value of the asset,
 - More robust aggregate purchase agreements because timely delivery of fleet-related data allows for more effective use of such purchase agreements,
 - 10 • new services such as freight or mobile asset tracking and utilization advice,
 - broader reach to non-GE service shops through sharing of advantageous GE business practices
 - offering of performance guarantees based on estimated cost of
 - 15 operation per mile including cost of fuel and tires.

The present invention can be embodied in the form of computer-implemented processes and apparatus for practicing those processes. The present invention can also be embodied in the form of computer program code including computer-readable instructions embodied in tangible media, such as floppy

20 diskettes, CD-ROMs, hard drives, or any other computer-readable storage medium, wherein, when the computer program code is loaded into and executed by a computer, the computer becomes an apparatus for practicing the invention. When implemented on a computer, the computer program code segments configure the computer to create specific logic circuits or processing modules.

25 While the preferred embodiments of the present invention have been shown and described herein, it will be obvious that such embodiments are provided by way of example only. Numerous variations, changes and substitutions will occur to those of skill in the art without departing from the invention herein. Accordingly, it is intended that the invention be limited only by

30 the spirit and scope of the appended claims.

WO 01/84506

PCT/US01/14038

WHAT IS CLAIMED IS:

1. A computerized method for managing a plurality of mobile assets (e.g., 10 or 26), the method comprising:
 - collecting data regarding each of a plurality of mobile assets;
 - processing the data to develop historical information regarding actual usage of each mobile asset, said actual usage being arranged in a plurality of operational modes of the asset, each of said operational modes being indicative of a respective state of health of said asset; and
 - distributing the information via a communications network.
2. The method of claim 1 wherein said historical information regarding actual usage of the asset is enhanced with environmental data collected during the actual usage of the asset, said environmental data comprising at least one environmental parameter contributing to the level of wear of said asset.
3. The method of claim 1 further comprising determining a service recommendation for each respective mobile asset based on the actual usage of said respective mobile asset.
4. The method of claim 3 further comprising communicating said service recommendation to a user of the mobile asset.
5. The method of claim 4 wherein said service recommendation includes suggesting a service center able to perform said service recommendation.
6. The method of claim 5 wherein the suggested service center is based on the position of the mobile asset relative to said service center.
7. The method of claim 6 wherein the suggested service center is further based on whether said service center is part of a chain of preferred service centers.

WO 01/84506

PCT/US01/14038

8. The method of claim 1 further comprising for a respective mobile asset:

providing a database (e.g., 410) configured to store a rule base for governing prescribed usage of that respective mobile asset and for gathering
5 predetermined data indicative of the actual use of the mobile asset;

as the mobile asset is in motion and using onboard sensors, monitoring at least one parameter relating to the use of the mobile asset;

transmitting data relating to the at least one mobile asset parameter for each mobile asset to said database;

10 processing the transmitted data relative to the predetermined data to determine whether or not the actual use of each mobile asset is compliant with the prescribed usage of the mobile asset as set forth in said rule base; and

notifying a user of the mobile asset of any non-compliance.

15 9. The method of claim 8 wherein the user is selected from the group comprising government agencies, mobile asset owners, customers of mobile asset services, mobile asset operators, maintenance personnel and service centers.

20 10. The method of claim 8 wherein the mobile asset parameter comprises cargo weight.

11. The method of claim 8 wherein the prescribed usage of the mobile asset comprises government-mandated prescribed usage and the mobile asset parameter is selected from the group comprising cargo weight, data relating to
25 mobile asset equipment identified in the rule base, and operator log data.

12. The method of claim 11 wherein the data related to mobile asset equipment comprises engine emission data, and brake system data.

30 13. The method of claim 8 wherein said database is part of an inspection site and wherein the transmission of data regarding the mobile asset parameter occurs as the mobile asset passes along said inspection site.

WO 01/84506

PCT/US01/14038

14. The method of claim 8 wherein said database is part of an on-board device configured to perform the notifying step.

5 15. The method of claim 8 further comprising providing a unique identifier for each mobile asset and associating said identifier with each respective mobile asset parameter.

10 16. The method of claim 8 wherein the information derived from the database is selected from the group comprising inspection reports, maintenance reports and operator log reports.

17. The method of claim 1, wherein the step of distributing information further comprises:
linking a service center for the mobile assets to the communications
15 network; and
posting a recommendation for a service activity for one of the plurality of mobile assets on a web site accessible via the communications network.

20 18. The method of claim 1, further comprising:
collecting data regarding cargo being transported by each mobile asset;
using the data regarding cargo to develop information regarding the cargo;
and
distributing the information regarding cargo via the communications network.

25 19. The method of claim 1 further comprising:
collecting data regarding respective service functions provided through a respective one of the remote assets;
using the data regarding the respective service functions to develop information regarding said functions; and
30 distributing the information regarding said service functions via the communications network.

WO 01/84506

PCT/US01/14038

20. A computerized method for managing a plurality of mobile assets, the method comprising:

collecting data regarding each of a plurality of mobile assets;

analyzing the data to identify a respective trend in at least one operating parameter of a respective one of the plurality of mobile assets;

developing a service recommendation and service schedule in response to the identified trend and data from servicing requirements of other mobile assets of the plurality of mobile assets; and

communicating the service recommendation to a user of said mobile asset.

21. The method of claim 20 wherein said data analyzing step is performed on-board the mobile asset.

22. The method of claim 20 wherein said data analyzing step is performed at a data center generally remote relative to said mobile asset.

23. A computerized method for managing a mobile asset, the method comprising:

collecting data regarding the mobile asset;

processing the data to develop historical information regarding actual usage of each mobile asset; and

posting to a user, based on said collected data, reminder information to ensure compliance of any applicable regulatory requirements.

24. A computerized method for managing a mobile asset, the method comprising:

collecting operator data regarding the operation of the mobile asset by a respective operator;

processing the data to develop historical information regarding the operation of the mobile asset by the respective operator; and

posting to said operator, based on said collected data, reminder information to ensure compliance of any applicable regulatory requirements.

WO 01/84506

PCT/US01/14038

25. The method of claim 24 further comprising analyzing said collected operator data to verify satisfactory compliance by the respective operator of said regulatory requirements.

5 26. The method of claim 24 further comprising posting to said operator economic incentive information to encourage said operator to have the mobile asset serviced by a service center that is part of a chain of preferred service centers.

10 27. The method of claim 24 further comprising issuing commands to the mobile asset, based on said collected data, to avoid non-compliance of said regulatory requirements.

15 28. A computerized method for managing a plurality of mobile assets, the method comprising:

collecting data regarding each of a plurality of mobile assets;
processing the data to develop historical information regarding actual usage of each mobile asset, said actual usage being arranged in a plurality of operational modes of the asset, each of said operational modes being indicative of a respective
20 state of health of said asset;

using the data to develop a failure prediction for at least one of the plurality of remote assets; and

distributing the information via a communications network.

25 29. A computerized method for managing a plurality of mobile assets, the method comprising:

collecting data regarding each mobile asset of a plurality of mobile assets that together constitute a mobile asset system;

WO 01/84506

PCT/US01/14038

using the data to develop historical information regarding actual usage of each said mobile asset, wherein said historical information is classified based on a plurality of operational modes of the asset, each of said operational modes being indicative of a respective state of health of said asset;

- 5 using the data to generate operational settings for each asset so as to increase the performance and operating life of the mobile asset system; and
 distributing the operational settings via a communications network.

30. A computerized method for managing a plurality of mobile assets,
10 the method comprising:
 collecting data regarding each of a plurality of mobile assets;
 processing the data to develop historical information regarding actual
usage of each mobile asset, said actual usage being arranged in a plurality of
operational modes of the asset, each of said operational modes being indicative of
15 a respective state of health of said asset;
 establishing a cost/benefit evaluation of the mobile asset for a proposed
future plan of use in light of the state of health of the mobile asset ; and
 distributing the information via a communications network.

- 20 31. A computerized method for managing a plurality of mobile assets,
the method comprising:
 collecting data regarding each of a plurality of mobile assets having
warranties covering the operation of the mobile assets;
 processing the data to develop historical information regarding actual
25 usage of each mobile asset, said actual usage being arranged in a plurality of
operational modes of the asset;
 determining the remaining warranty coverage of each respective mobile
asset based on the actual usage of the asset; and
 distributing the information via a communications network.

WO 01/84506

PCT/US01/14038

32. The method of claim 31 wherein said warranty coverage determining step comprises adjusting said coverage based on whether any service recommendations for the asset have been complied with.

5 33. A computerized method for managing a land-based mobile asset (e.g., 10 or 26), the method comprising:

 providing (e.g., 204) a database configured to store a rule base for governing prescribed usage of a mobile asset and for gathering predetermined data indicative of the actual use of the mobile asset;

10 as the mobile asset is in motion and using onboard sensors, monitoring (e.g., 206) at least one parameter relating to the use of the mobile asset;

 transmitting data (e.g., 208) relating to the mobile asset parameter for each mobile asset to said database;

 processing (e.g., 210) the transmitted data relative to the predetermined data to determine whether or not the actual use of each mobile asset is compliant with the prescribed usage of the mobile asset as set forth in said rule base; and

15 notifying (e.g., 212) a user of the mobile asset of any non-compliance.

 34. A system for managing a land-based mobile asset, the system comprising:

20 a database (e.g., 410) configured to store a rule base for governing prescribed usage of a mobile asset and for gathering predetermined data indicative of actual use of the mobile asset;

 onboard sensors (e.g., 500) configured to monitor, as the mobile asset is in motion, a parameter relating to the use of the mobile asset;

25 a transceiver (e.g., 524) configured to transmit data relating to the mobile asset parameter for each mobile asset to said database;

 a processor coupled to said database to determine whether or not the actual use of each mobile asset is compliant with the prescribed usage of the mobile asset as set forth in said rule base; and

30 a module (e.g., 120) configured to notify a user of the mobile asset of any non-compliance.

WO 01/84506

PCT/US01/14038

35. A system for managing a mobile asset within a fleet of mobile assets, the system comprising:

a plurality of sensors (e.g., 500) carried on a mobile asset for generating data indicative of the operation of the mobile asset;

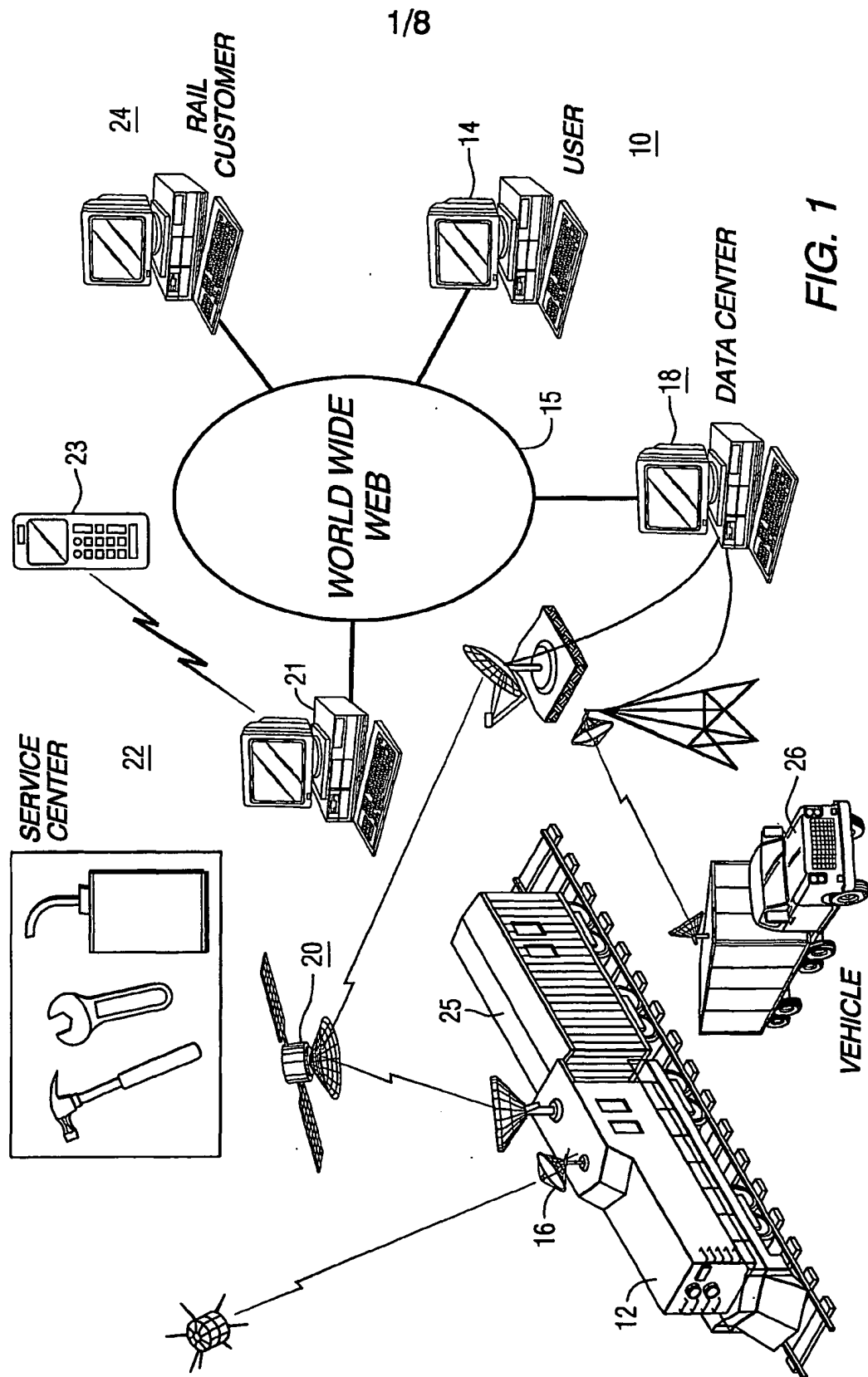
5 a memory device (e.g., 522) for gathering and recording operational data for the life of said mobile asset;

a data link (e.g., 20) in communication with the memory and with a communications network for transferring information relating to the operation of said mobile asset to the network; and

10 a data processor (e.g., 118) accessing a data base of historical information data relating to the operation of other mobile assets in the fleet corresponding to said mobile asset and evaluating the operational data from said mobile asset in light of said historical information from said other mobile assets in the fleet.

WO 01/84506

PCT/US01/14038



2/8

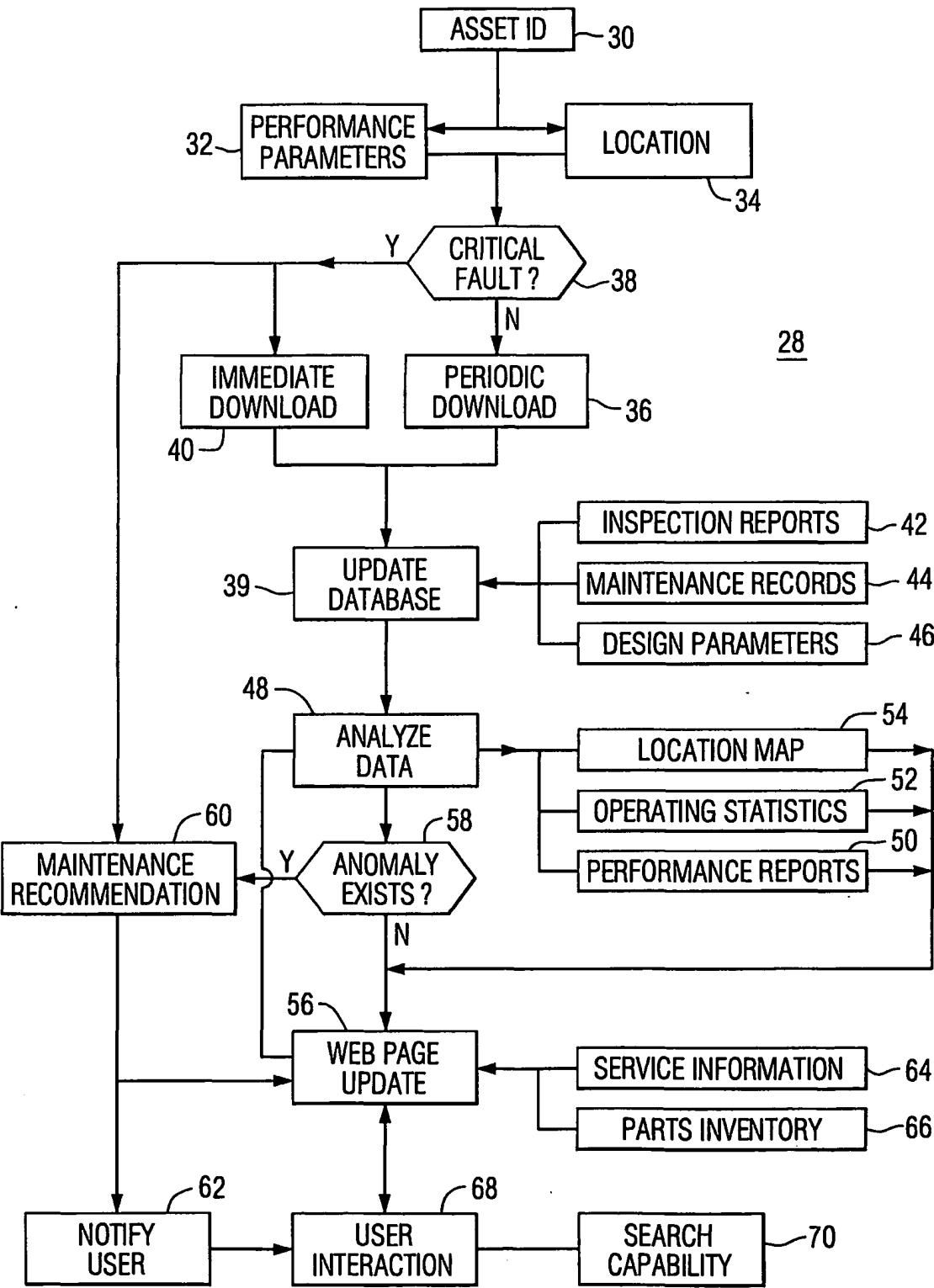


FIG. 2

WO 01/84506

PCT/US01/14038

3/8

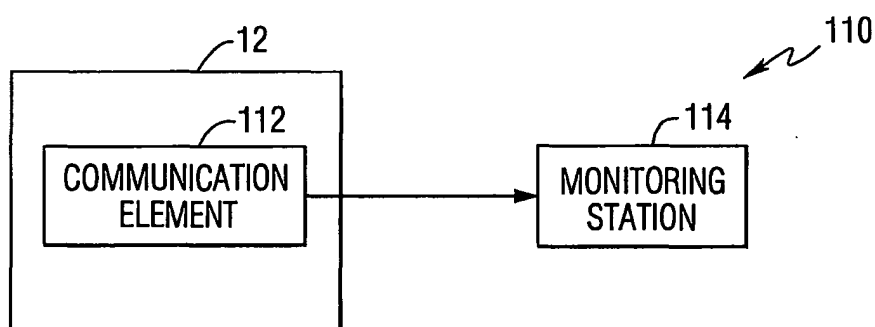


FIG. 3

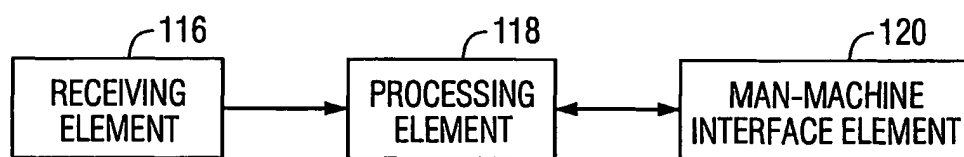


FIG. 4

4/8
USER INTERFACE

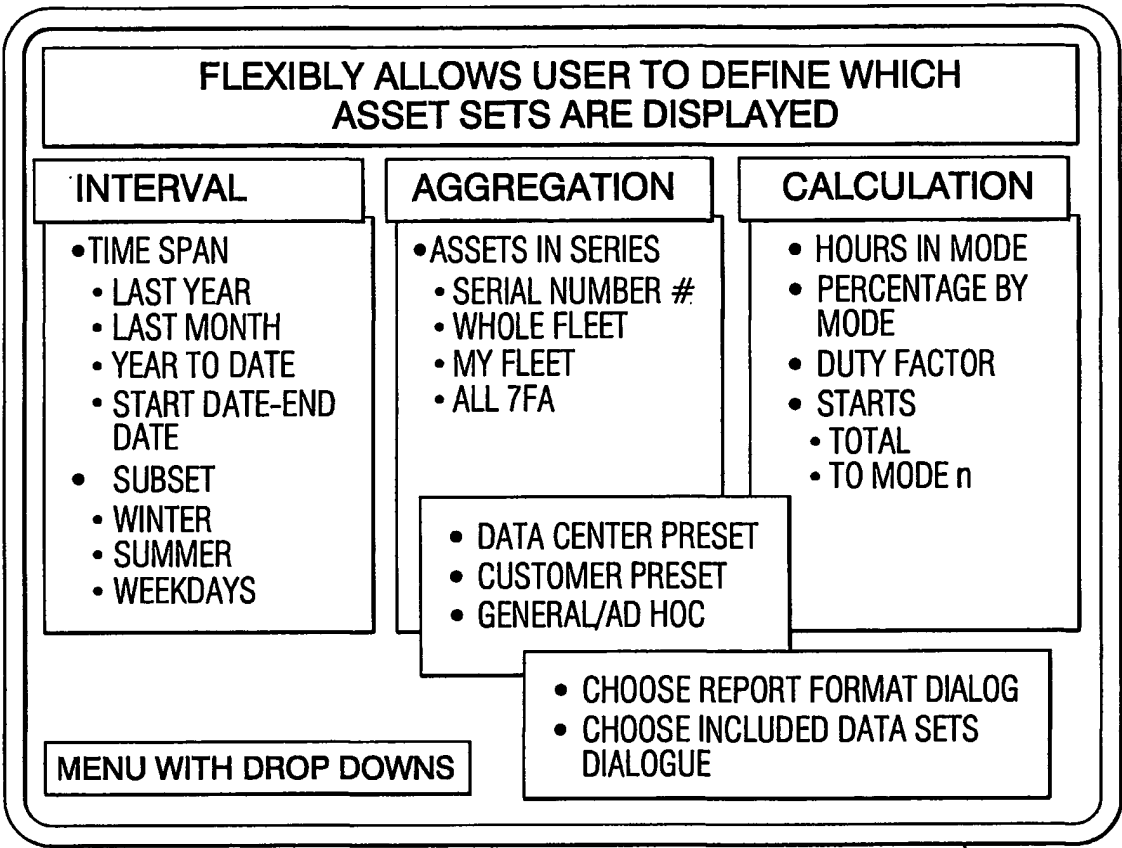


FIG. 5

300

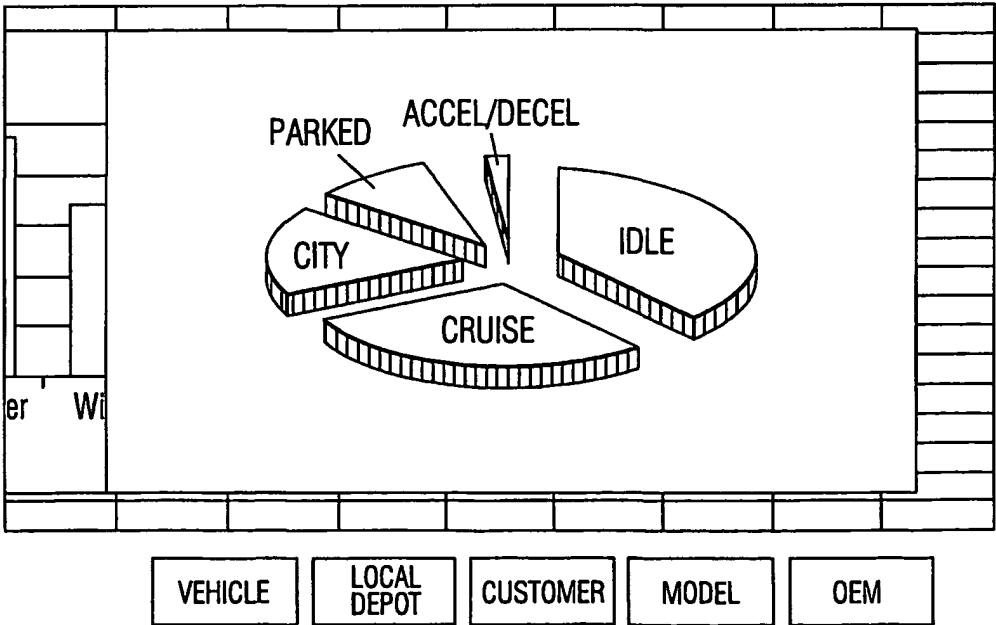


FIG. 6

WO 01/84506

PCT/US01/14038

5/8

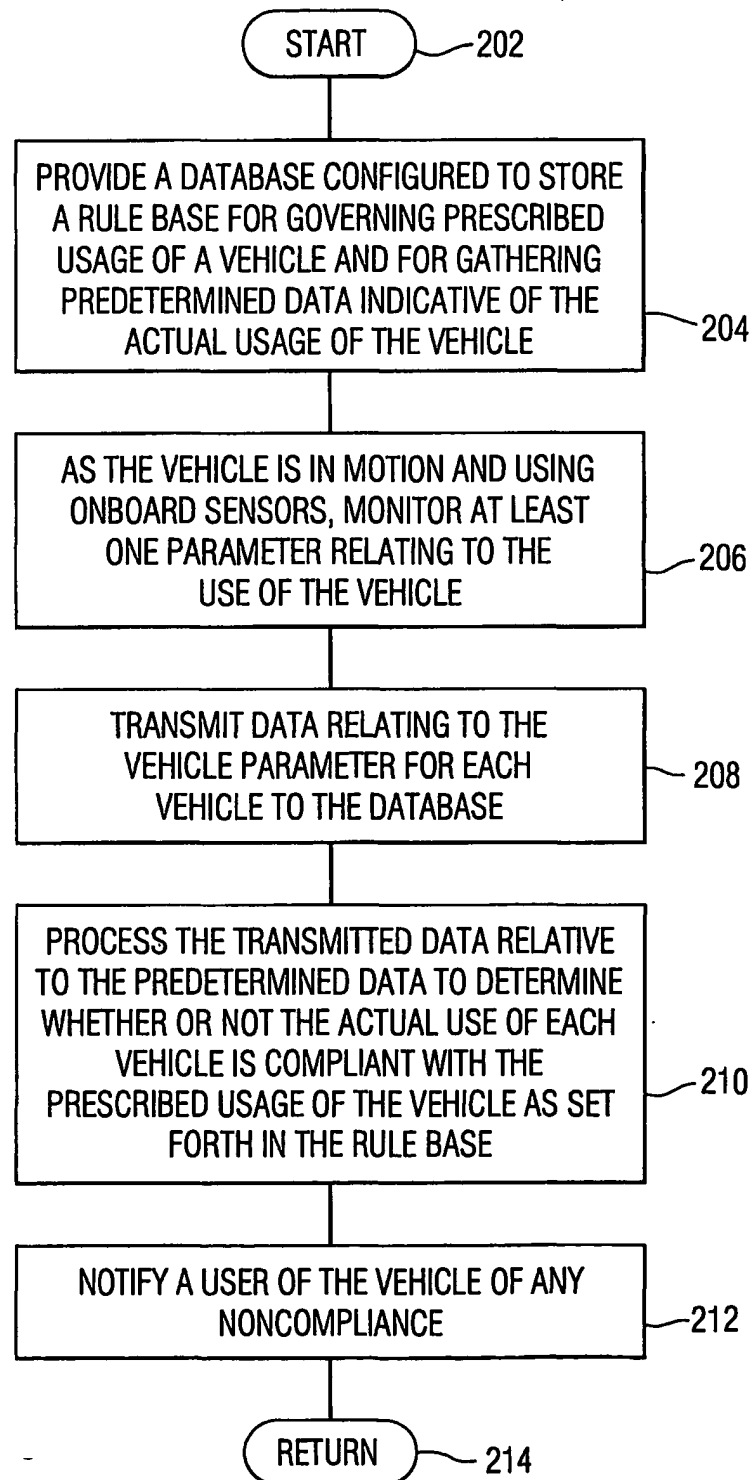


FIG. 7

WO 01/84506

PCT/US01/14038

6/8

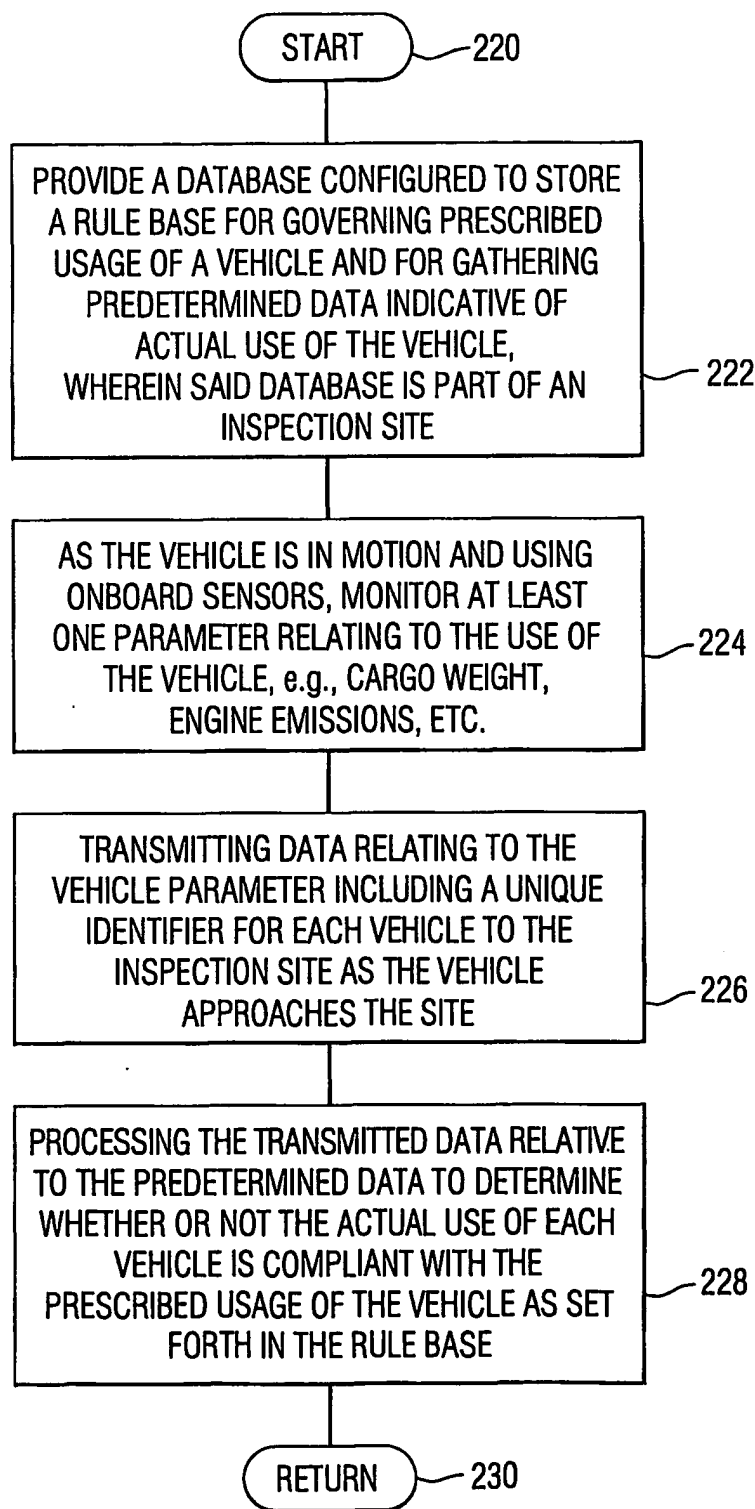


FIG. 8

SUBSTITUTE SHEET (RULE 26)

WO 01/84506

PCT/US01/14038

7/8

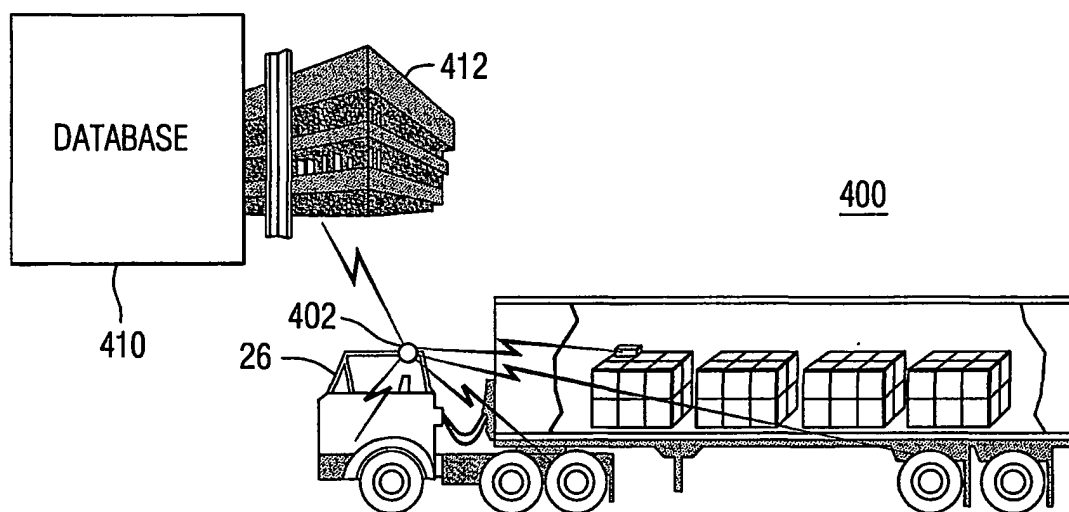


FIG. 9

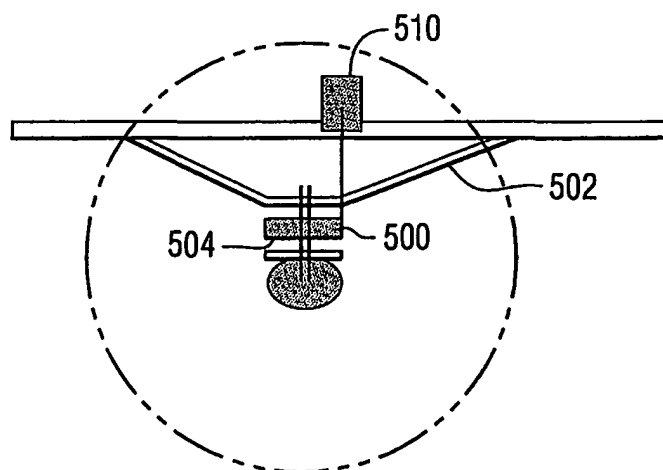


FIG. 10

WO 01/84506

PCT/US01/14038

8/8

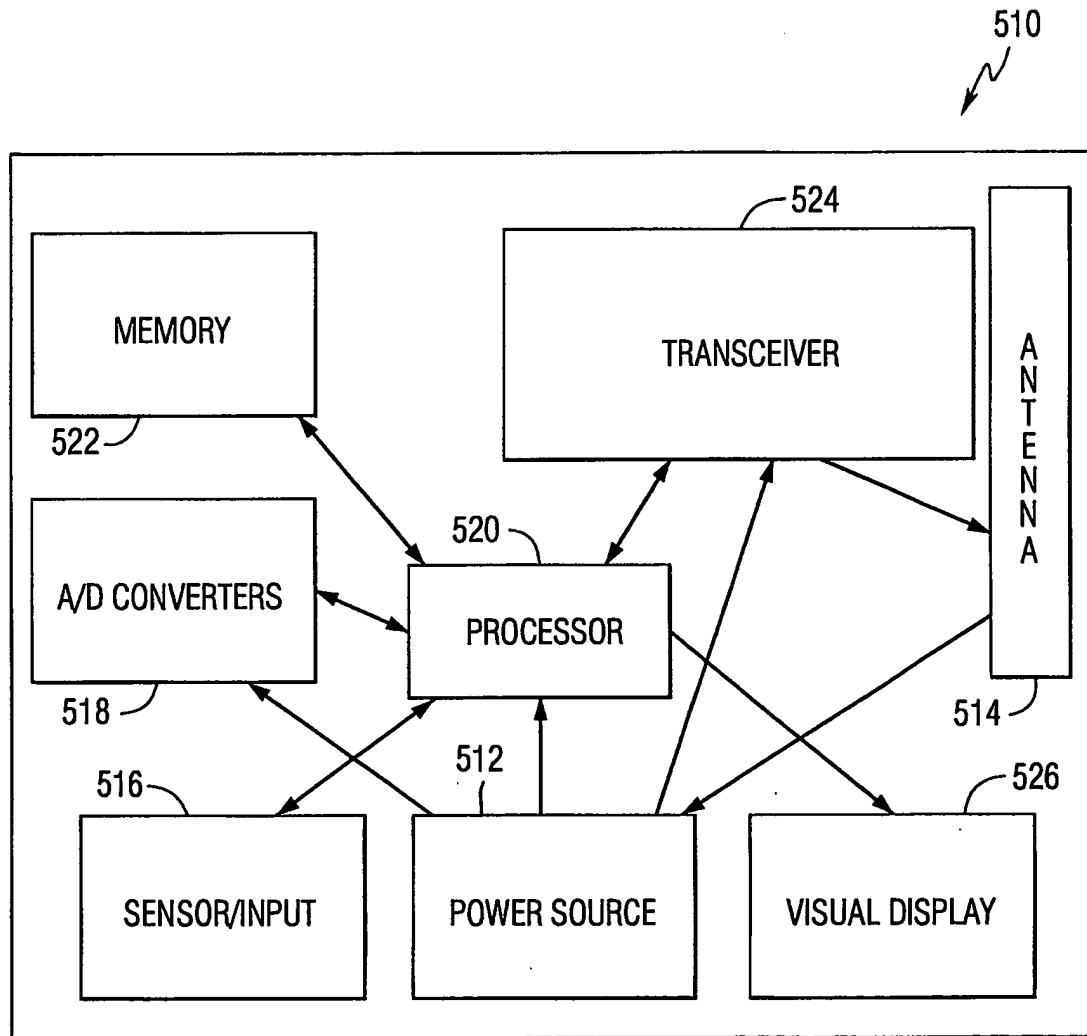


FIG. 11